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**Date:** 2024

**Type:** Article de revue / Article

**Référence:** Abou-Samra, G., & Silvestri, V. (2024). Stability of long uniform slopes in cohesionless soils with seepage parallel to slope surface. Results in Engineering, 24, 103155 (8 pages). <https://doi.org/10.1016/j.rineng.2024.103155>

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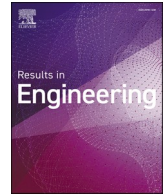
 **Document publié chez l'éditeur officiel**  
Document issued by the official publisher

**Titre de la revue:** Results in Engineering (vol. 24)

**Maison d'édition:** Elsevier

**URL officiel:** <https://doi.org/10.1016/j.rineng.2024.103155>

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# Stability of long uniform slopes in cohesionless soils with seepage parallel to slope surface

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## ARTICLE INFO

### Keywords:

Landslides  
Earth retention systems  
Rankine  
Saturated infinites slopes  
Cohesionless soils

## ABSTRACT

A method is employed in this paper for the computation of earth pressures mobilized by landslides in cohesionless soil slopes against retention systems. For calculation purposes, the water table is taken to be parallel to the slope and at a depth  $z_w$ . Steady seepage is assumed to be taking place in a direction parallel to the slope. End effects are taken into account. In the upper part of the slope, the soil is considered to be in a Rankine active state of equilibrium and a Rankine passive state of equilibrium is assumed to reign in the lower part of the slope. The infinite slope concept is applied to the central part of the slope. The theory shows that the slip surfaces below the water table are curved, not straight lines.

The theoretical procedure developed in the present paper was applied to the analysis of an initially unstable long slope that failed in spite of the presence of a shear pile wall made up of a row of closely spaced 1m-diameter bored piles. The retaining system was designed based on the Rankine active state of equilibrium, using a factor of safety of about 1.7. It is shown that, as the shear pile wall was located in the lower part of the slope, it was subjected to a much greater force, compatible with a Rankine passive state of equilibrium, corresponding to a factor of safety of about 0.9. As a result, the shear pile wall was doomed to fail.

## 1. Introduction

The basic principles that govern the design and construction of stable slopes are well established. Approaches to their design can be categorized as follows: a) avoid the problem, b) reduce the forces tending to cause movements, and c) increase the forces resisting movements [1,2]. The techniques used in the latter approach, which are of particular interest in the context of this paper, function either by applying a resisting force or by increasing the internal strength of the soils in the failure zone. The most common structural retention systems that are employed to increase resisting forces include conventional retaining walls, shear keys, externally braced walls or walls supported by anchors and tie-backs, piles, and drilled shafts [1,3]. Structural retention systems have sometimes failed for two main reasons: either the forces applied by the sliding mass were underestimated, or the sliding mass simply bypassed the retaining structure [3,4].

As the lateral extent of the slopes treated in the present paper is very large compared with the depth of the slip surfaces, the slopes will be considered of infinite extent. In such context the infinite slope analysis procedure, which is rigorous and valid for cohesionless soils, is also

applicable to other cases where the slip surface is parallel to the slope face with the depth of the slip surface being small compared with the lateral dimension of the slope [5]. The latter condition may exist when there is a stronger layer of soil at shallow depth; for example, where a layer of weathered soil exists near the surface of the slope and is underlain by a stronger, unweathered substratum, as discussed later in the paper.

The stability analysis of infinite slopes is considered the first step in understanding the mechanics of slope stability, supported by the fact that most textbooks and design manuals in soil mechanics and geotechnical engineering include this topic [5–8]. Despite being one of the oldest techniques of earth pressure determination [9,10], infinite slope analysis is very useful, particularly for analyzing shallow landslides where the depth of the slip surface is very small compared with the lateral extent of the slope [5]. Lately, this approach has attracted renewed attention with a diversity of extensions to various geotechnical problems such as nonhomogeneous soil profiles [11], partially saturated soils and rainfall infiltration [12,13], seismic acceleration [14], elastic-plastic response [15,16], slope volume and geometry [17], and bonded soils and rocks with particular characteristics [18–21].

The stability of long uniform slopes in cohesionless soils, inclined at

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**Nomenclature:**

$c$	cohesion
$c'_p$	effective peak cohesion
$c'_{res}$	effective residual cohesion
$F$	factor of safety
$F_p$	maximum force applied to retention system
$F_{rp}$	passive force
$H$	depth of retention system
$k_{ra}$	Rankine active pressure coefficient
$L$	length of landslide
$L'$	length of central part of landslide
$L_B$	length of passive end of landslide
$L_{crit}$	critical length of landslide = $L' + L_B$
$u$	porewater pressure
$z$	depth
$z_w$	depth of water table
$\alpha$	angle between slope surface and slip surface
$\beta$	inclination angle of slope

$\gamma$	unit weight
$\gamma_{sat}$	saturated unit weight
$\gamma_w$	unit weight of water
$\gamma'$	effective unit weight = $\gamma_{sat} - \gamma_w$
$\varepsilon$	angle between slope surface and plane through center of Mohr circle and pole
$\theta_1, \theta_2$	angles between vertical direction and failure surfaces
$\phi$	friction angle
$\phi'_p$	effective peak friction angle
$\phi'_{res}$	effective residual friction angle
$\sigma_n$	normal stress on plane parallel to slope surface
$\sigma'_n$	effective normal stress on plane parallel to slope surface
$\sigma_{ra}$	total Rankine active pressure on vertical plane
$\sigma_{rp}$	total Rankine passive pressure on vertical plane
$\sigma_v$	total vertical stress on plane parallel to slope surface
$\tau$	applied shear stress on plane parallel to slope surface
$\tau_f$	shear resistance on plane parallel to slope surface
$\Delta\tau$	shear deficit at $z$ on plane parallel to slope surface = $\tau - \tau_f$

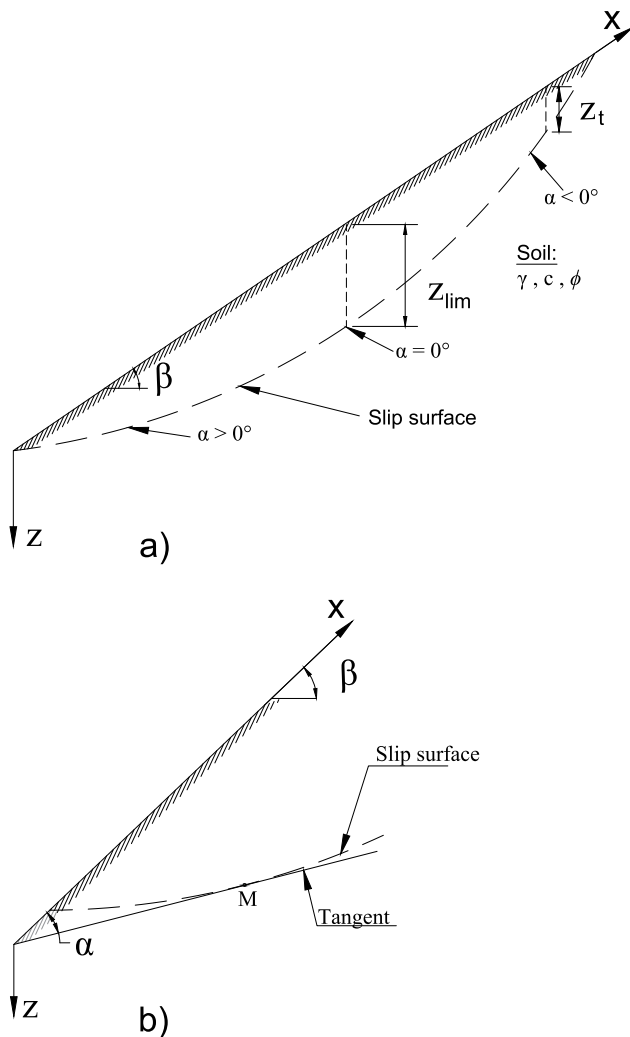


Fig. 1. Frontard's solution for  $\beta > \phi$ : a) Slip surface; b) Definition of angle  $\alpha$  (adapted from Ref. [22]).

an angle  $\beta$  with respect to the horizontal less than the friction angle  $\phi$  of the soil, is analyzed using the concept of an infinite slope. In such case it is assumed that the potential failure surface is parallel to the surface of the slope and at a depth that is small compared to the length of the slope. The slope is then considered as being of infinite length, with end effects being ignored. When the slope is dry or when the water table coincides with the surface of the slope, that is, when the pore water pressures are proportional to the depth of the slide, the direction of the failure surface remains constant with depth [8]. However, when the water table is located at some depth below the surface of the slope, the direction of the potential failure plane varies with depth below the water table.

For calculation purposes in the context of the present paper, the water table is taken to be parallel to the slope and at a depth  $z_w$ . Steady seepage is assumed to take place in a direction parallel to the slope. End effects are taken into account. Thus, while in the upper part of the slope, the soil is in a Rankine active state of equilibrium, a Rankine passive state of equilibrium reigns in the lower part of the slope. In addition, the infinite slope concept is applied to the central part of the slope. The theory shows that the slip surfaces below the water table are curved, not straight lines as one would have expected from application of Rankine conditions to dry cohesionless soils.

The present paper also presents a case history that involves a landslide triggered by cuts made at the toe of a long slope for the construction of a highway and a secondary road. The landslide occurred in spite of the presence of a row of closely spaced large diameter piles installed for remediation purposes. Results of stability analyses show that the shear pile wall was unable to resist the forces mobilized by the landslide. A preliminary analysis of the landslide was made by Silvestri and Tabib [22].

## 2. Theoretical approach

Résal [23] determined the stresses, the directions of the failure surfaces, and the equations of the slip surfaces in long cohesive-frictional slopes, by assuming that Rankine's conditions prevailed. This implies that the vertical stress  $\sigma_v$ , acting at the depth  $z$  on a plane parallel to the slope in everywhere equal to  $\gamma z \cos \beta$ , where  $\gamma$  is the unit weight of the soil and  $\beta$  is the inclination angle of the slope with respect to the horizontal. Frontard [24] integrated Résal's equations and obtained an expression for the slip surface for  $\beta > \phi$  (See Fig. 1a). In addition, Frontard [24] showed that while the upper part of the slope was in a state of active equilibrium, the corresponding lower part was in a passive state. These

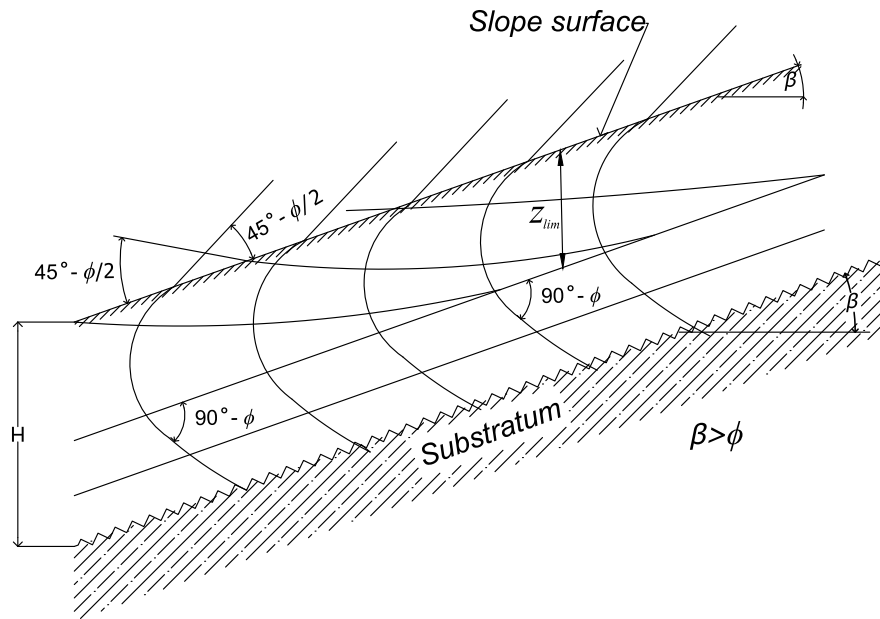


Fig. 2. Slip line field at passive end of landslide (modified after [25]).

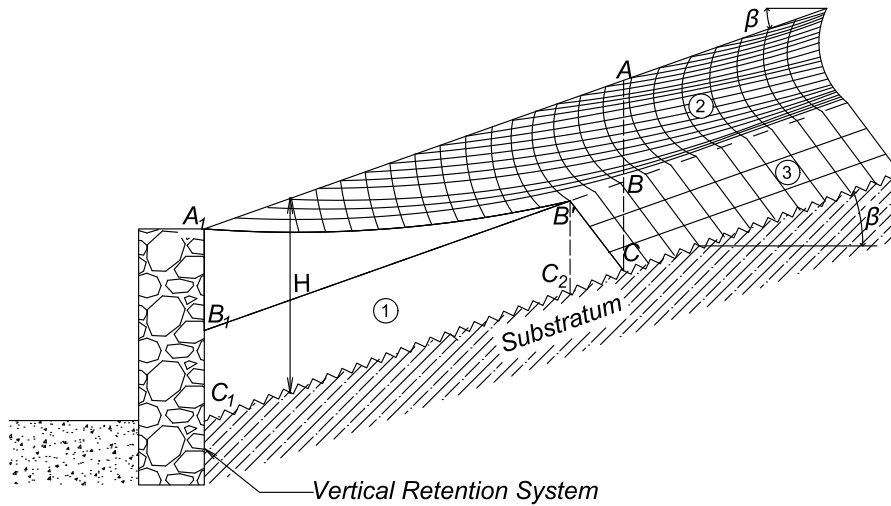


Fig. 3. Mixed elastic-plastic zones at passive end of landslide (modified after [25]).

two states are characterized by different values of the angle  $\alpha$  shown in Fig. 1b. The passive zone corresponds to  $\alpha \geq 0$  and the active zone to  $\alpha < 0$ , as shown in Fig. 1a. Résal [23] also showed that there existed a limiting height  $z_{lim}$ , corresponding to  $\alpha = 0$ , for which a long slope could not remain stable if its height  $H > z_{lim}$ .

Fig. 2 presents a typical slip line field at the lower or passive end of a landslide in a cohesive–frictional soil [25]. Because the height  $H > z_{lim}$  in the context of the present study, it is assumed that a vertical retention system is present to stabilize the landslide. The slip lines reported in Fig. 2 follow Résal’s solution for  $H \leq z_{lim}$  in the passive zone. But for  $z > z_{lim}$ , one family of slip lines is parallel to the slope surface, whereas the other is inclined at  $(90^\circ - \phi)$  to the first one.

Fig. 3 presents a section at the lower end of a landslide, adjacent to a vertical retention system. Following Sanglerat et al. [25], it is possible to admit the existence of a mixed elastic-plastic equilibrium diagram that consists of three zones, namely, one zone in elastic equilibrium (zone 1),

and two plastic zones: zone 2 in Rankine passive state for  $z \leq z_{lim}$ , and zone 3 in limit equilibrium for  $z > z_{lim}$ .

Concerning infinite slopes in dry cohesive-frictional soils, when a stability analysis is made by assuming that the potential failure plane is parallel to the slope surface, the factor of safety  $F$  is calculated by comparing the shear resistance  $\tau_f = c + \sigma_n \tan \phi = c + \gamma z (\cos \beta)^2 \tan \phi$  to the applied shear stress  $\tau = \gamma z \sin \beta \cos \beta$ , resulting in

$$F = \frac{c + \gamma z (\cos \beta)^2 \tan \phi}{\gamma z \sin \beta \cos \beta} \tag{1a}$$

For  $c = 0$ , Eq. (1a) reduces to

$$F = \frac{\tan \phi}{\tan \beta} \tag{1b}$$

According to this equation, when  $F = 1$ , any plane parallel to the slope surface is a failure plane. When the water table is uniformly



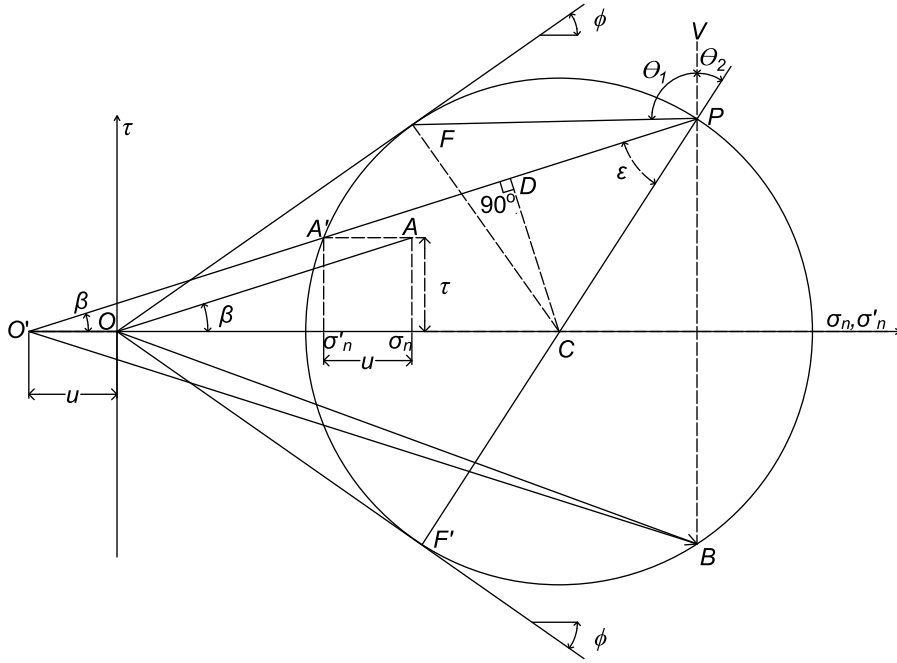


Fig. 5. Passive case.

$$OC = \sec^2 \beta \left\{ \sigma'_n - [(\sigma'_n \sin \phi)^2 + (\tau \cos \phi)^2]^{1/2} \right\} \quad (5c)$$

The directions of the failure surfaces which are given by the lines PF' and PF in Fig. 4 are equal respectively to  $\theta_1$  and  $\theta_2$  with respect to the vertical, that is,

$$\theta_1 = \frac{(90^\circ - \phi)}{2} - \frac{(\varepsilon - \beta)}{2} \quad (6a)$$

and

$$\theta_2 = \frac{(90^\circ - \phi)}{2} + \frac{(\varepsilon - \beta)}{2} \quad (6b)$$

Note the angle  $\varepsilon$  which is given by

$$\varepsilon = \sin^{-1} \left[ \frac{(O'O + OC) \sin \beta}{OC \sin \phi} \right] \quad (7)$$

varies with depth below the water table because the porewater pressure  $u$ , which corresponds to  $O'O$ , is variable; it thus follows that the directions of the failure surfaces also vary as function of depth.

Consider now the lower end zone where the soil is in a Rankine passive state of limit equilibrium, as shown in Fig. 5. Again, the vector  $OA$  represents the vertical total stress. In this case, the distance  $OC$  is given by

$$OC = \sec^2 \beta \left\{ \sigma'_n + [(\sigma'_n \sin \phi)^2 + (\tau \cos \phi)^2]^{1/2} \right\} \quad (8)$$

For any depth less than  $z_w$ , the passive pressure which acts on a vertical plane is parallel to the surface of the slope and is given by  $\sigma_{rp} = \gamma z \cos \beta k_{rp}$ , where  $k_{rp} = 1/k_{ra}$ . In addition, the angle  $\varepsilon$  is again equal to  $\sin^{-1}(\sin \beta / \sin \phi)$ . As a consequence, the directions of the failure planes which are given respectively by

$$\theta_1 = \frac{(90^\circ + \phi)}{2} + \frac{(\varepsilon + \beta)}{2} \quad (9a)$$

and

$$\theta_2 = \frac{(90^\circ + \phi)}{2} - \frac{(\varepsilon + \beta)}{2} \quad (9b)$$

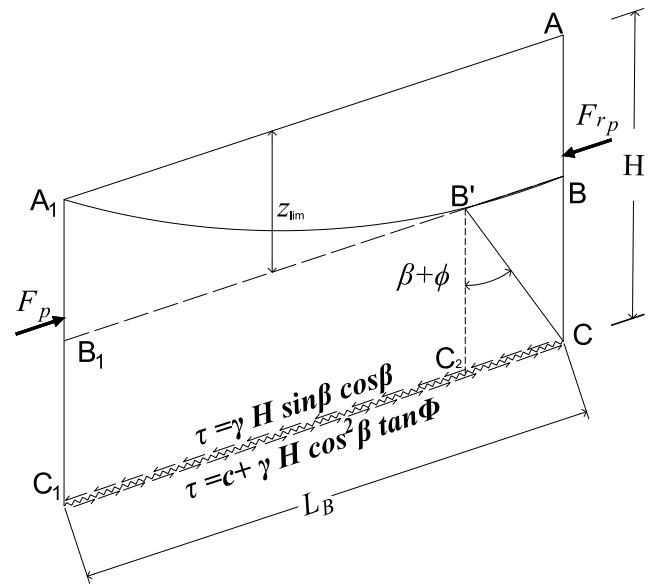


Fig. 6. Free-body diagram of section of cohesive-frictional slope adjacent to retention system (adapted from Ref. [22]).

are constant for  $z$  less than  $z_w$ .

For any depth  $z$  greater than  $z_w$  but less than  $z_{lim}$ , the total passive pressure  $\sigma_{rp}$  acting on a vertical plane is given by the distance  $OB$  in Fig. 5. Note that  $OB$  equals  $OP$ , where  $P$  is again the pole. In this case, the total passive pressure  $\sigma_{rp}$  is given by

$$\sigma_{rp} = O'D + DP \quad (10)$$

where  $O'D$  and  $DP$  are given respectively by Eqs. (5a) and (5b). But, as the angle  $\varepsilon$  which is given by Eq. (7) is function of the porewater pressure, the directions  $\theta_1$  and  $\theta_2$  of the failure surfaces, which are still parallel to the lines  $PF$  and  $PF'$ , vary with depth.

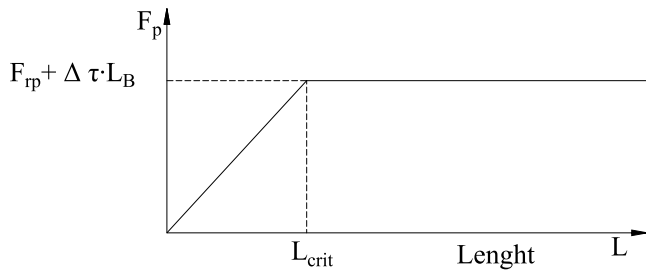


Fig. 7. Simplified relationship between force applied to retention system and length of landslide (adapted from Ref. [26]).

However, for  $z > z_{lim}$ , as the directions of the failure surfaces are considered to be constant with depth from Fig. 3, the passive earth pressure  $\sigma_{rp}$  may be found from a simple rule of three, that is,

$$\sigma_{rp} \text{ at } z = \frac{z}{z_{lim}} \sigma_{rp} \text{ at } z_{lim} \quad (11)$$

Fig. 6 presents the section of a landslide between a vertical retention system  $A_1B_1C_1$  and the vertical plane ABC passing through point C where the first slip line cuts the substratum. Rankine passive force  $F_{rp}$  acting on the plane ABC is determined from the earth pressures given by Eq. (10) for  $z \leq z_{lim}$ , and Eq. (11) for  $z > z_{lim}$ . The length  $L'$  of slope required to mobilize the passive force  $F_{rp}$  is obtained from:

$$L' = \frac{F_{rp}}{\Delta\tau} = \frac{F_{rp}}{\gamma H \sin \beta \cos \beta - (c + \gamma H \cos^2 \beta \tan \phi)} \quad (12)$$

where the shear deficit  $\Delta\tau$  represents the difference between the applied shear stress and the corresponding shear resistance existing at a depth  $H$  on the plane parallel to the slope.

The maximum force  $F_p$  acting on the vertical retention system is found from the equilibrium of the free-body diagram shown in Fig. 6, that is:

$$F_p = F_{rp} + \Delta\tau \cdot L_B \quad (13a)$$

or

$$F_p = F_{rp} + [\gamma H \sin \beta \cos \beta - (c + \gamma H \cos^2 \beta \tan \phi)] \cdot L_B \quad (13b)$$

where the length  $L_B$  equals the distance  $C_1C$  in Fig. 6. Because  $L_B = C_1C_2 + C_2C$ , it is necessary to determine separately segments  $C_1C_2$  and  $C_2C$ . The length of segment  $C_1C_2$  may be found by simply assuming that the slip line  $B'A_1$  is an arc of circle. As for  $C_2C$ , it is given by:

$$C_2C = (H - z_{lim}) \frac{\sin(\beta + \phi)}{\cos \phi} \quad (14)$$

On the basis of the global equilibrium of a sliding mass of total length  $L$ , the minimum force acting on the vertical retention system is:

$$F_{min} = \Delta\tau \cdot L = [\gamma H \sin \beta \cos \beta - (c + \gamma H \cos^2 \beta \tan \phi)] \quad (15)$$

However, such force cannot be greater than the force  $F_p$  found from Eq. (13).

Two solutions will ensue.

- a) When  $L > L' + L_B = L_{crit}$ , the landslide will pass over the retention system, even if the wall is stable. The force mobilized by the sliding mass corresponds to  $F_p$ .
- b) When  $L \leq L' + L_B = L_{crit}$ , the landslide is stabilized by the retention system, provided that the system is designed to resist the applied force. This force is less than  $F_p$  but greater than or equal to  $F_{min}$ . The simple relationship shown in Fig. 7 may be used to determine the actual force.

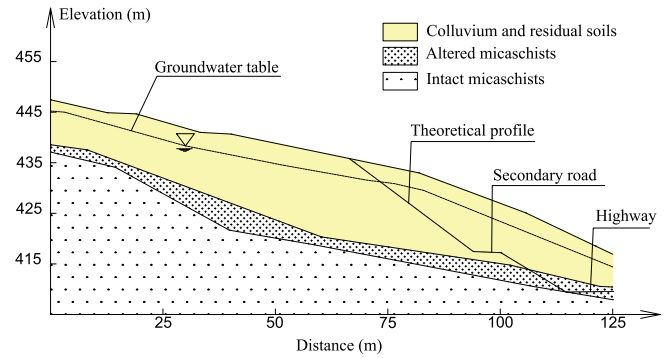


Fig. 8. Case history (modified after [26]).

### 3. Case history

Fig. 8 illustrates the stratigraphy and the geometry of the case history at study, which was first analyzed by Blondeau & Violette [26]. It is re-analyzed in the present paper for obtaining a clearer picture of both the mechanisms involved in the landslide and the mobilized earth pressures which led to the failure of the retention system.

As shown in Fig. 8, excavations were made at the lower end of a long slope, inclined at an average angle of  $18^\circ$  with respect to the horizontal, for construction of a highway and a secondary road. The overburden which consists of colluvium and residual soils is underlain by 4m thick layers of altered micaschists resting on sound bedrock. The bedrock surface is approximately parallel to the slope surface, at a depth of 7m to 8m. The ground water is parallel to the surface, at a depth varying from 2.5m to 3m.

A first landslide was triggered during the initial stages of the excavations. Distress manifested in the form of transverse cracks 40m upslope of the earthworks, with movements being relatively minor. Two to three months later, when the earthworks were halted, a second crack appeared 20 m upslope of the first one. Still, the movements were small. Subsequently, the work resumed and the final excavation levels were successfully reached by reducing the inclination of the slopes. Unfortunately, a general landslide occurred sometime later, destroying the secondary road and the upper slope of the highway. The contractor decided to build a shear pile wall to preserve the highway platform and to stop the landslide movements. The wall consisted of a single row of closely spaced 1 m diameter concrete piles which were to be anchored in the intact rock. However, not all the piles reached the bedrock, because of construction difficulties. The pile heads were tied together by a massive 2m square cap beam. According to Blondeau and Violette [26], the shear pile wall was designed to withstand an ultimate load of 590 kN/m, which was based upon Rankine active earth pressures. As these authors mention that the active force was estimated at 350 kN/m, the design of the wall implied a factor of safety of about 1.7. In spite of the shear pile wall, landslide movements progressed, with the sliding mass finally passing over the crest of the wall at several places. The cap beam was eventually displaced by more than 0.7m. The total length of the slope involved in the landslide measured 110m.

The gravelly and heterogeneous nature of the soils involved in the landslide prevented the performance of laboratory strength tests for the determination of effective strength parameters. However, as the geometry of the landslides and the pore water pressures were determined quite accurately during and after the landslide movements, Blondeau and Violette [26] were able to obtain reasonable estimates of the effective strength parameters, by carrying out stability analyses. Blondeau & Violette [26] obtained peak strength parameters,  $c'_p = 5 \text{ kPa}$ ,  $\phi'_p = 22^\circ$ , for the initial first-time landslide, and residual strength parameters,  $c'_p = 0$ ,  $\phi'_{res} = 20^\circ$ , for the subsequent re-activation of the landslide. The values of both sets of strength parameters (i.e., peak and

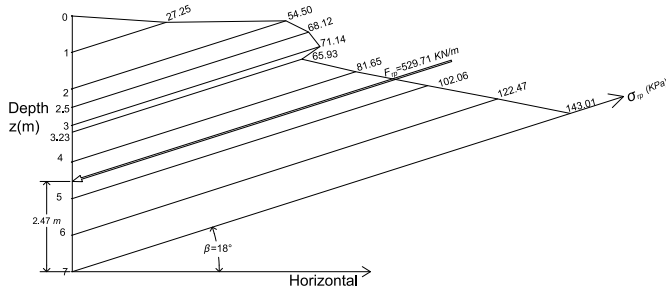


Fig. 9. Pressure distributions and forces on vertical plane at passive end of landslide.

residual) are quite similar to triaxial and direct shear test results obtained by Blondeau & Josseume [27] on both intact and pre-cut soil specimens recovered from the sites of several landslides in the same region.

Concerning the unit weights of the soils, a value of  $21\text{ kN/m}^3$  based on local experience, was retained for both  $\gamma$  and  $\gamma_{sat}$ . The groundwater table was found at a depth of  $2.5\text{ m}$  at the time of the general landslide. The minimum thickness  $H$  of the soils involved in the landslide was  $7\text{ m}$ , with the failure surface being confined to the boundary between the overburden and the altered micaschists. The factor of safety  $F$  for a first-time slide, applicable to an infinite slope, is found to be  $0.98$  from Eq. (2a), with  $c'_p = 5\text{ kPa}$ ,  $\phi'_p = 22^\circ$ ,  $\gamma = \gamma_{sat} = 21\text{ kN/m}^3$ ,  $\gamma_w = 10\text{ kN/m}^3$ ,  $z_w = 2.5\text{ m}$ ,  $H = 7\text{ m}$ , and  $\beta = 18^\circ$ . The slope was thus marginally safe, prior to the start of earthworks. In proceeding as per Eq. (2), the infinite slope analysis ignores the driving force at the upper or active end of the landslide and the resisting force at the lower or passive end. Because the resisting force is generally greater than the driving force, such analysis leads to a conservative result [1].

For comparison purposes, stability analyses involving circular failure surfaces were also carried out by Blondeau and Virollet [26]. It was found that when the initial excavation reached a depth of about  $6\text{ m}$ , a factor of safety of one was obtained based upon the peak effective strength parameters  $c'_p = 5\text{ kPa}$ ,  $\phi'_p = 22^\circ$ , with the lowest point on the failure circle located  $3\text{ m}$  below the excavated platform. Additional circular failure analyses were completed using the residual effective

strength parameters  $c'_{res} \approx 0$ ,  $\phi'_{res} = 20^\circ$ . A factor of safety of one was obtained for a series of failure circles involving a much larger volume of soil. The lowest points on these failure circles were about  $6\text{ m}$  below the excavated platform.

As mentioned above, landslide movements increased considerably during the progression of the earthworks. It is thus believed that the effective strength parameters reached their residual values during the general landslide. Because Blondeau and Virollet [26] deduced that failure analyses based upon the residual values  $c'_{res} \approx 0$ ,  $\phi'_{res} = 20^\circ$  agreed with the observed response, the soil would thus behave like a cohesionless material during the general landslide. These authors also mention that the maximum force experienced by the shear pile wall probably ranged between  $800$  and  $900\text{ kN/m}$ . As a result, residual strength parameters retained in the present study were

$c'_{res} \approx 0$ ,  $\phi'_{res} = 20^\circ$ . These yield  $F = 0.74$  from Eq. (2b). In addition, substitution of  $F = 1$  in this equation leads to  $z_{lim} = 3.23\text{ m}$ . Eq. (11) was used to compute total passive pressure  $\sigma_{rp}$  for  $z > z_{lim}$ . The total passive pressure  $\sigma_{rp}$  and the corresponding force  $F_{rp}$  are reported in Fig. 9. The total passive force  $F_{rp} = 529.71\text{ kN/m}$ . The length of slope  $L'$  required to mobilize the force  $F_{rp}$  equals  $55.05\text{ m}$  from Eq. (12), because the shear stress deficit  $\Delta\tau = 9.62\text{ kPa}$  at  $H = 7\text{ m}$ . The additional length  $L_B = C_1C_2 + C_2C = 10.74 + 2.47 \approx 13.21\text{ m}$ . Indeed, the length of segment  $C_1C_2$  was determined by assuming that the slip line  $B'A_1$  in Fig. 6 is an arc of circle, whereas the length of segment  $C_2C$  was found from Eq. (14). Thus, the critical length  $L_{crit} = L' + L_B \approx 68.26\text{ m}$ , which is less than the actual length  $L$  of  $110\text{ m}$  of the landslide. As a consequence, the sliding mass would pass over the crest of the wall, as it eventually occurred. In addition, the maximum force  $F_p = F_{rp} + \Delta\tau L_B$  or  $657\text{ kN/m}$  approximately, as shown in Fig. 10. Failure was thus inevitable, because the wall was designed to withstand an ultimate load of  $590\text{ kN/m}$ , which corresponds to a factor of safety  $F = 590/657$  or about  $0.9$ . This notwithstanding, the expected maximum force of  $657\text{ kN/m}$  is much smaller than the value of  $800$  and  $900\text{ kN/m}$  deduced by Blondeau and Virollet [26]. It is believed that the aggravating factor which led to the failure of the shear pile wall was the fact that the piles were not all anchored in the bedrock.

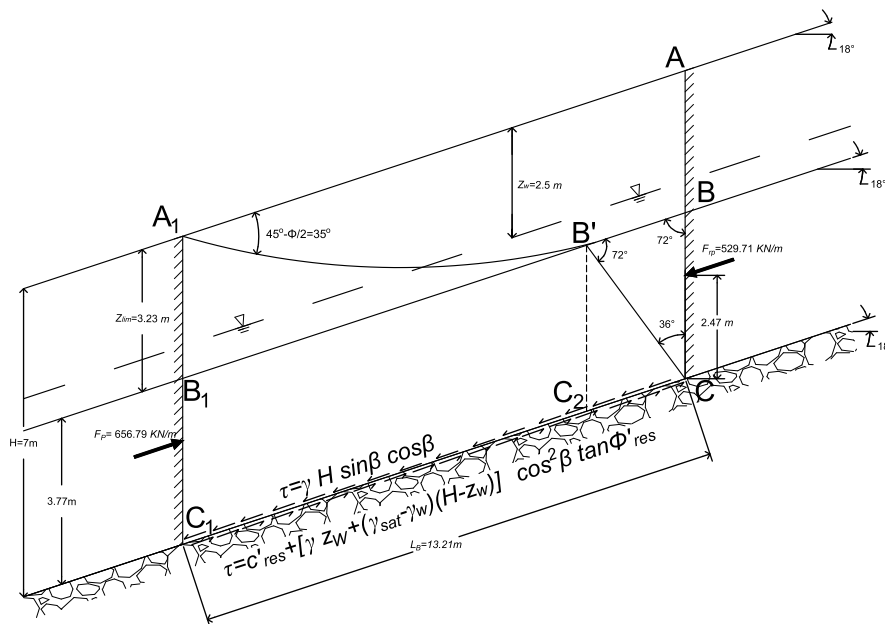


Fig. 10. Free-body diagram at passive end of landslide.

#### 4. Conclusions

The following conclusions are drawn on the basis of various points discussed in the paper.

- a) Guidelines are given for the safe design of earth retention systems installed at the toe of unstable slopes.
- b) Infinite analyses are combined with the slip line solution of Résal [23] and Frontard [24] for a better understanding of the mechanism mobilized in long landslides.
- c) A case history involving a long landslide is re-analyzed and is shown that, in spite of the presence of a massive shear pile wall, failure was to be expected.

#### CRedit authorship contribution statement

**Ghassan Abou-Samra:** Methodology, Investigation, Formal analysis, Conceptualization. **Vincenzo Silvestri:** Methodology, Conceptualization.

#### Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

#### Data availability

No data was used for the research described in the article.

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