



Titre: Introduction and implementation of fluid forces in a DEM code for
Title: simulating particle settlement in fluids

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Date: 2024

Type: Article de revue / Article

Référence: Zhang, Y., & Li, L. (2024). Introduction and implementation of fluid forces in a
Citation: DEM code for simulating particle settlement in fluids. Powder Technology, 119238
(18 pages). <https://doi.org/10.1016/j.powtec.2023.119238>

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 **Document publié chez l'éditeur officiel**
Document issued by the official publisher

Titre de la revue: Powder Technology
Journal Title:

Maison d'édition: Elsevier
Publisher:

URL officiel: <https://doi.org/10.1016/j.powtec.2023.119238>
Official URL:

Mention légale:
Legal notice:

1 **Introduction and implementation of fluid forces in a DEM**
2 **code for simulating particle settlement in fluids**

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19 Submitted to and accepted by *Powder Technology*

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20 **Introduction and implementation of fluid forces in a DEM** 21 **code for simulating particle settlement in fluids**

22 **Abstract:**

23 Simulations of a problem involving particles and fluid usually require coupling a discrete element
24 method (DEM) with computational fluid dynamics (CFD) or smoothed particle hydrodynamics
25 (SPH). The former suffers from the accuracy or even reliability problem induced by mesh size
26 while the latter suffers from small size model and high computation time and cost. To overcome
27 part of these problems, fluid forces have been formulated and directly implemented in a DEM code,
28 resulting in a new model, named f-EDEM. Using this model, the settlement of particles in a fluid
29 can be simulated by a single DEM code without the need of coupling with a CFD or SPH solver.
30 In this study, the formulation and implementation of fluid forces into the DEM code are presented.
31 The proposed f-EDEM model is first validated against an analytical solution. The applicability and
32 ability of the f-EDEM model are examined by reproducing and predicting some laboratory results.
33 The comparisons between the numerical, analytical and experimental results indicate that the f-
34 EDEM model is successfully validated and has the required capacity for simulating the settlement
35 of single and multiple particles in both Newtonian and some of non-Newtonian (Bingham plastic)
36 fluids. The results also indicate that the time step recommended by most DEM manuals can be
37 excessively small, resulting in a high computational cost. It is thus necessary to perform sensitivity
38 analysis of time step to obtain an optimal numerical model, which ensures stable and reliable
39 numerical result with the shortest time of computation.

40

41 **Keywords:** Discrete element method (DEM); Particle settlement; Bingham plastic fluids; Fluid
42 forces.

43

44 **1. Introduction**

45 Particle settlement in fluids is a phenomenon frequently encountered in several domains, such as
46 mineral slurries, debris flows, natural muds, landslides, and lava flows (Bokharaeian et al., 2021;
47 Nguyen et al., 2021). Understanding and quantifying the particles settlement is thus necessary for
48 the design and control of such systems. Obviously, this should be extremely difficult, if not totally
49 impossible through analytical solution due to the large number of particles and the extremely
50 complex interactions between particles and particles as well as between particles and fluid.
51 Alternatively, numerical modeling can be considered as a versatile way to evaluate the interaction
52 between particles and fluid.

53 There are two major numerical approaches that can be employed to simulate the movement of
54 particles in fluids. The first one couples discrete element method (DEM) with computational fluid
55 dynamics (CFD) method. The movement of an individual particle is explicitly tracked by solving
56 the Newton's second law using DEM (Cundall & Strack, 1979), while the flow of fluid is described
57 by the Navier-Stokes equation in CFD (Fonceca Junior et al., 2021; Jajcevic et al., 2013; Tsuji et
58 al., 1993; Zhao & Shan, 2013). The fluid related forces such as drag and buoyance forces are
59 calculated by the CFD solver and then taken as input parameters for the DEM code. This approach
60 has been applied to simulate gas-solid fluidization, pneumatic conveying, cyclones and pipeline
61 flow (Picabea et al., 2022; Zhao & Shan, 2013; Zhu et al., 2008). The approach (un-resolved DEM-
62 CFD method), however, can become problematic with large size particles because the CFD mesh
63 size must be larger than the maximum diameter of particles (Casarin et al., 2022; Ferreira et al.,
64 2023; Kloss et al., 2012; Peng et al., 2014; Zhang & Yin, 2018). The requirement may result in
65 overly coarse CFD meshes when simulating particles containing very large ones. The accuracy
66 reduces and the numerical results can become unreliable. Moreover, the strong interaction
67 processes (e.g., transport fluid forces from CFD to DEM) between the two codes can sometimes
68 contribute to numerical instabilities (Casarin et al., 2022). In addition, for a simple one-way
69 simulation (only the fluid affects the flow of particles), the velocity fields data calculated by the
70 CFD solver can be imported into some DEM codes. This allows the movement of particles induced
71 by the fluid to be captured in DEM but does not necessarily require coupling the two codes at the
72 same time. At current state, several commercial DEM codes can support this approach to different
73 levels of complexity (e.g., Altair EDEM, Ansys Rocky, ThreeParticle).

74 The second approach is to couple DEM with the smoothed particle hydrodynamics (SPH) method.
75 SPH is a mesh-free method, in which the fluid is discretized into a set of particles (Gingold &
76 Monaghan, 1977; Platzer & Fimbinger, 2021) to simulate complicated free-surface flow and
77 splashing without any problem of convergences (Cleary & Prakash, 2004; Cleary et al., 2006; He
78 et al., 2018; Moreira et al., 2020; Peng et al., 2021; Robb et al., 2016; Wu et al., 2020). The coupled
79 DEM-SPH method has thus been majorly used to simulate the free flows, such as debris flows,
80 landslides and dam breaks (Cleary, 2015; Robinson et al., 2013; Trujillo-Vela et al., 2020; Zhou
81 & Li, 2022). However, application of coupled DEM-SPH method is limited to small scale
82 engineering problems due to the extremely high computational time and costs. In each simulation
83 step of SPH method, the neighboring particles must be searched and their effects on the current
84 particle must be taken into account. Such search and update calculations require enormous
85 computation time when simulating the fluid represented by large quantities of particles
86 (Domínguez et al., 2021; Ohno et al., 2017; Park et al., 2020; Valdez-Balderas et al., 2013). Once
87 the SPH solver needs to be coupled with DEM, the calculation time can further increase
88 significantly due to the additional particles associated with the DEM code.

89 The previous analysis on existing numerical methods elucidates that coupling two different
90 numerical codes may suffer from a problem of numerical stability/reliability or from a problem of
91 computation time/cost when dealing with the movement of particles in a fluid. In order to
92 overcome (at least partly) these problems, a new component has been developed and implemented
93 on a commercialized DEM code, after taking into account the drag and buoyance forces of fluid.
94 The settlement of particles in both Newtonian and some non-Newtonian (e.g., Bingham plastic)
95 fluids can thus be simulated using a single DEM code without any need of coupling with a CFD
96 or SPH solver. In this study, the development is presented. The developed numerical model is
97 validated against an analytical solution. The applicability and power of the numerical model is
98 tested by the reproduction and prediction of experimental results.

99 **2. Governing equations and forces**

100 In this study, a DEM based commercial software, named Altair EDEM was used for numerical
101 simulations. In DEM code, the translational and rotational accelerations of a particle are ruled by
102 Newton's second law. The velocity and position of an individual particle are calculated and
103 updated through numerical integration over a considered time step. The governing equation, which

104 controls the movement of a particle in a fluid, is expressed as the following equation based on the
105 DEM theory proposed by Cundall & Strack (1979):

$$m \frac{dv}{dt} = F_g + F_c + F_f \quad (1)$$

106 where m and v are the mass and translational velocity of the particle, respectively; t is time; F_g is
107 the gravitational force; F_c is the contact force between particles; F_f are the fluid forces acting on
108 the particles (presented later).

109 The rotation motion of a particle is described as follows (Cundall & Strack, 1979):

$$I \frac{dw}{dt} = M \quad (2)$$

110 where I is the moment of inertia, w is the angular velocity, M is the contact torque acting on a
111 particle.

112 The gravity force, F_g , acting on a spherical particle is expressed as follows:

$$F_g = \frac{1}{6} \pi \rho_p d^3 g \quad (3)$$

113 where ρ_p is the density of particle, d is the diameter of particle, g is the acceleration of gravity.

114 The contact forces, F_c , between particles are determined based on Hertz-Mindlin (H-M) contact
115 model due to its accuracy and efficiency in contact force calculations (Hertz, 1882; Mindlin, 1949).
116 Particles are assumed to be rigid. Small overlaps are allowed to represent particle deformations
117 during collisions. By using contact detection algorithms, the accelerations, velocities and positions
118 of particles can be calculated using Newton's second laws of motion. More details on contact
119 forces of the H-M model can be found in many references (e.g., Capozzi et al., 2019; Dhaouadi et
120 al., 2021; Salamat & Genç, 2023).

121 In DEM code, the gravity force and contact force have often been taken into account and built in,
122 whereas the fluid forces, F_f (in Equation 1), are not incorporated because the fluid is not considered.
123 In this study, interaction between particles and fluid are concerned and the fluid forces are taken
124 into account by considering buoyance force and drag force.

125 The buoyance force F_b acting on a spherical particle fully submerged in a fluid can be expressed
126 by considering the Archimedes' principle as follows (Britannica, 2023):

$$F_b = \frac{1}{6}\pi\rho_f d^3 g \quad (4)$$

127 where ρ_f is the density of fluid.

128 Regarding the drag force, one has to consider if the fluid is a Newtonian fluid or non-Newtonian
129 fluid. In a Newtonian fluid, the general form of drag force acting on a spherical particle settling
130 in the fluid can be expressed as (Di Felice, 1994):

$$F_d = \frac{1}{2}\rho_f v^2 A C_d \quad (5)$$

131 where F_d is the drag force; v is the settling velocity of particle; A ($= \pi d^2/4$) is the cross-section area
132 of a spherical particle; C_d is a drag coefficient, which depends on the shape and surface state of the
133 particle and on the particle Reynolds number R_e , is expressed as follows (Chhabra, 2006; Okesanya
134 et al., 2020):

$$R_e = \frac{\rho_f v d}{\eta} \quad (6)$$

135 where η is the viscosity of a Newtonian fluid.

136 For a spherical particle settling in a laminar flow region with very small Reynolds number, the
137 drag force can be expressed based on Stokes law (Stokes, 1844):

$$F_d = 3\pi\eta v d \quad (7)$$

138 Substituting equation (7) into (5), one can obtain the relationship between the drag coefficient and
139 Reynolds number of a sphere in stokes flow (Concha Arcil, 2009):

$$C_d = \frac{24}{R_e} \quad (8)$$

140 For the drag force of a spherical particle in a non-Newtonian fluid with a yield stress, the influence
141 of yield stress must be considered. The drag force acting on a moving spherical particle in such
142 fluid is given by Dedegil (1987) as follows:

$$F_d = \left(\frac{\pi}{2}d\right)^2 \tau_y + \frac{1}{2}C_d \rho_f v^2 A \quad (9)$$

143 where τ_y is the yield stress, which is the minimum required shear stress to start flowing a non-
144 Newtonian fluid.

145 The Reynolds number of particle in a non-Newtonian fluid can be expressed as follows after a
146 generalization of Equation (6) for Newtonian fluid (Dedegil, 1987; Machač et al., 1995):

$$R'_e = \frac{\rho_f v d}{\eta_a} \quad (10)$$

147 where R'_e is the particle Reynolds number in a non-Newtonian fluid; η_a is the apparent viscosity of
148 a non-Newtonian fluid, determined as the slope of shear stress, τ , and shear rate, $\dot{\gamma}$, as follows (He
149 et al., 2001):

$$\eta_a = \frac{\tau}{\dot{\gamma}} \quad (11)$$

150 The particle to fluid shear rate, $\dot{\gamma}$, is defined as follows (Dedegil, 1987; He et al., 2001):

$$\dot{\gamma} = \frac{v}{d} \quad (12)$$

151 In this study, the non-Newtonian fluid with a yield stress is considered as a Bingham plastic fluid
152 with its shear stress τ is described by the Bingham model (Blackery & Mitsoulis, 1997):

$$\tau = \tau_y + \eta_B \frac{v}{d} \quad (13)$$

153 where η_B is the plastic viscosity of a Bingham fluid.

154 Substituting equations (11), (12), (13) into equation (10) results in an expression for the particle
155 Reynolds number of a sphere in a Bingham plastic fluid as follows (Dedegil, 1987):

$$R'_e = \frac{\rho_f v^2}{\left[\tau_y + \eta_B \left(\frac{v}{d} \right) \right]} \quad (14)$$

156 To establish a relationship between the drag coefficient, C_d , and Reynolds number, R'_e of a particle
157 in a Bingham plastic fluid, the settlement of a particle in such fluid is analyzed. When a particle is
158 released into the fluid, its velocity increases upon the influence of gravity, a constant buoyance
159 force, and a small but increasing drag force associated with the increasing velocity of settlement.
160 The acceleration of settlement decreases as the sinking velocity and associated drag force increase.
161 The sinking velocity reaches a maximum and constant value, usually called terminal velocity,
162 when an equilibrium is achieved between the gravity force, the buoyance, and drag forces:

$$F_b + F_d - F_g = 0 \quad (15)$$

163 Substituting equations (3), (4) and (9) into equation (15) leads to:

$$\frac{1}{6}\pi\rho_p d^3 g - \frac{1}{6}\pi\rho_f d^3 g - \left(\frac{\pi}{2}d\right)^2 \tau_y - \frac{1}{2}C_d \rho_f v_{ter}^2 A = 0 \quad (16)$$

164 where v_{ter} is the terminal velocity of a particle settling in a fluid; A is the cross-section area of a
 165 spherical particle.

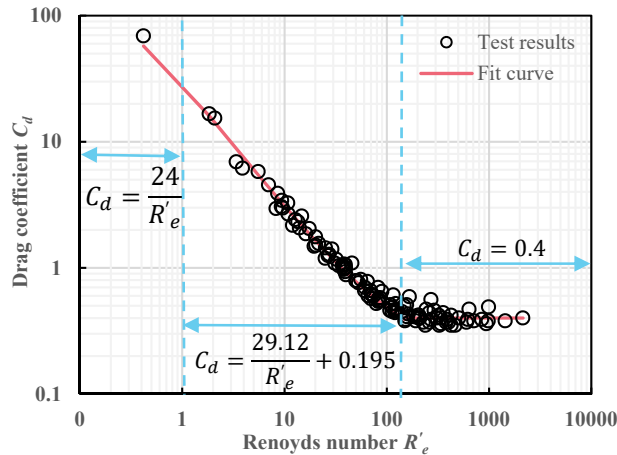
166 The drag coefficient of a particle can then be expressed as follows:

$$C_d = \frac{2}{v_{ter}^2 \rho_f} \left[\frac{2}{3}(\rho_p - \rho_f)dg - \pi\tau_y \right] \quad (17)$$

167 Substituting the velocity v of equation (14) by the terminal velocity v_{ter} leads to an expression for
 168 the particle Reynolds number R'_e as follows:

$$R'_e = \frac{\rho_f v_{ter}^2}{\left[\tau_y + \eta_B \left(\frac{v_{ter}}{d} \right) \right]} \quad (18)$$

169 A relationship between C_d and R'_e can then be established through the terminal velocity v_{ter}
 170 included in both of equations (17) and (18). In this study, the $C_d - R'_e$ relationship is determined
 171 based on the test results of Valentik & Whitmore (1965), who conducted spheres settling tests in
 172 clay suspensions. The tests were realized with 121 spheres settling in clay suspensions having six
 173 different densities. The terminal velocity v_{ter} of each sphere in the clay suspension was well
 174 recorded. Fig. 1 shows the variation of drag coefficient C_d of each sphere at its terminal velocity
 175 as a function of the corresponding particle Reynolds number R'_e .



176

177 **Fig. 1** Variation of drag coefficient C_d as a function of the corresponding particle Reynolds number R'_e of
178 each sphere with the settling test results of Valentik & Whitmore (1965)

179 Applying the curve-fitting technique on the test results shown in Fig. 1 leads to a $C_d - R'_e$
180 relationship for sphere settling in clay suspensions as follows:

$$C_d = \begin{cases} \frac{24}{R'_e}, & R'_e < 1 \\ \frac{29.12}{R'_e} + 0.195, & 1 \leq R'_e \leq 150 \\ 0.4, & R'_e > 150 \end{cases} \quad (19)$$

181 This equation will be used to describe the $C_d - R'_e$ relationship for spheres settling in a Bingham
182 plastic fluid. It should be noted here, the proposed $C_d - R'_e$ relationship (equation (19)) is different
183 from the one proposed by Dedegil (1987). This is because the shear stress τ used by Dedegil (1987)
184 is taken from the shear stress and shear rate curve conducted by Valentik & Whitmore (1965).
185 However, in this study, the shear stress τ is applied based on Bingham model (equation (13)) which
186 leads to the difference of the particle Reynolds number R'_e .

187 **3. Implementation and validation of the f-EDEM model**

188 As mentioned, this work is developed based on a commercialized DEM code, named Altair EDEM.
189 An advantage of this code is the possibility of introducing user-defined-force-models, external
190 couplings and particle-generation factories through API (standing for Application Programming
191 Interface). To implement the fluid forces into EDEM, the buoyance force (equation (4)) and drag
192 force (equations (5) and (9)) were translated and compiled into a DLL (standing for Dynamic
193 Loading Library) module through Visual Studio C++ programming. The DLL module can be
194 loaded and run by EDEM to take into account the fluid forces as plug-in particle body forces (Fig.
195 2). In the simulation, the fluid forces are automatically applied to the particles once they enter
196 inside the pre-determined fluid area. This development results in a new model, named f-EDEM
197 for modeling particle settlement in fluids.

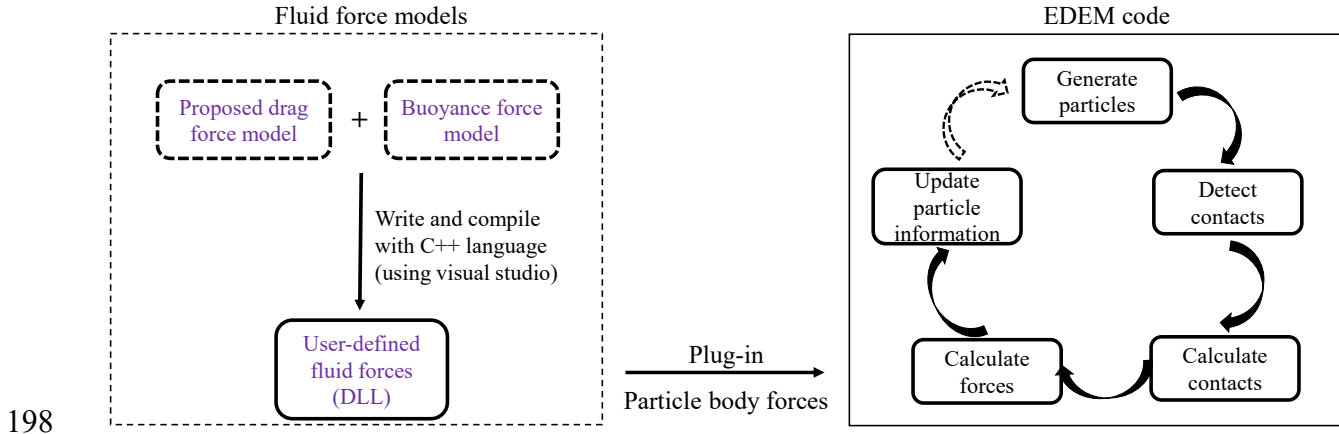


Fig. 2 Flowchart of fluid forces programming and implementation in EDEM

200 Before any applications of a numerical model, the validation against analytical solutions must be
 201 done in order to ensure that the numerical code does not contain any errors and the user can
 202 correctly use the numerical code (Li, 2022). To do so, both of the numerical model and physical
 203 model should not contain any uncertainty. In this regard, the comparison between numerical and
 204 experimental results to obtain a good agreement, usually through the process of calibration (i.e.,
 205 adjustment of some parameters), is not a validation because the experiment results contain always
 206 more or less uncertainty. No one can guarantee whether the calibrated parameters are reliable or
 207 unreliable. The comparisons are thus a process of calibration, useful to test the applicability or
 208 power of the numerical model, but questionable as a validation of the numerical model (Li, 2022).

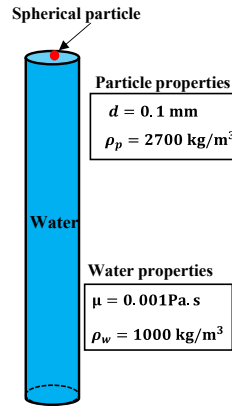
209 In this study, the validation of the f-EDEM model will be done against the settlement of a single
 210 spherical particle in water. Fig. 3 presents the physical model of a spherical particle, settling down
 211 in water with an initial speed of zero. The drag force acting on the particle is expressed by Stokes
 212 drag (equation (7)), the settlement of the spherical particle in water is thus governed by the
 213 following equation (Zhao et al., 2014):

$$\frac{1}{6}\pi d^3 \rho_p \frac{dv}{dt} = \frac{1}{6}\pi d^3 (\rho_p - \rho_w) g - 3\pi d \mu_w v \quad (20)$$

214 where d is the diameter of particle, ρ_p is the density of particle, ρ_w is the density of water, μ_w is the
 215 viscosity of water, v is the settling velocity of the particle.

216 Integrating equation (20) and considering the initial condition of $v=0$ at $t=0$ lead to an expression
 217 for the terminal velocity of particle v_{ter} as follows (Stokes, 1844; Concha Arcil, 2009):

$$v_{ter} = \frac{d^2(\rho_p - \rho_w)g}{18\mu_w} \quad (21)$$



218

219

Fig. 3 Physical model of the settlement of a spherical particle in the water

220

The validation of the f-EDEM model is realized by considering the physical parameters given in Table 1.

221

222

Table 1 Physical parameters and considered time for the settlement of a spherical particle in water

Particle diameter, d_p (mm)	0.1
Particle density, ρ_p (kg/m ³)	2700
Poisson's ratio of particle, ν	0.25
Young's modulus of particle, E (GPa)	30
Water density, ρ_w (kg/m ³)	1000
Water viscosity, μ_w (Pa. s)	0.001

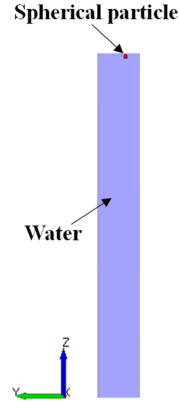
223

224

Fig. 4 presents the numerical model of the physical model presented in Fig. 3, built with EDEM

225

by considering the physical parameters given in Table 1.



226

227 **Fig. 4** Numerical model of Fig. 3 by considering the physical parameters given in Table 3, built with
 228 EDEM

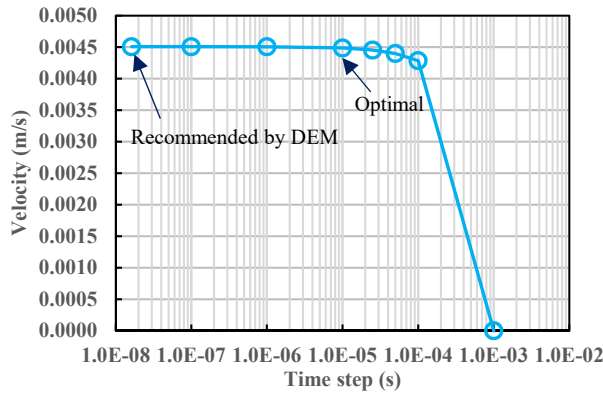
229 In order to obtain stable and reliable numerical results, sensitivity analysis of time step is necessary.
 230 In this regard, a time step equaling to 10 to 20% of the Rayleigh time T_R is commonly
 231 recommended in DEM simulations (Washino et al., 2016). The Rayleigh time T_R is expressed by
 232 the following equation (Li et al., 2005; Rackl & Hanley, 2017; Burns et al., 2019):

$$T_R = \frac{\pi r_p}{0.8766 + 0.163 \nu} \sqrt{\frac{\rho_p}{G}} \quad (22)$$

233 where r_p is the particle radius, ν is the Poisson's ratio of particle, ρ_p is the particle density, G is the
 234 shear modulus of particle. Considering the physical parameters given in Table 3 with $r_p = d_p/2 =$
 235 $0.1 \text{ mm}/2 = 0.05 \text{ mm}$ and $G = \frac{E}{2(1+\nu)} = 12 \text{ GPa}$ and applying equation (22) lead to a value of $T_R =$
 236 $8.12 \times 10^{-8} \text{ s}$. With 20% of T_R , the recommended time step is $1.62 \times 10^{-8} \text{ s}$.

237 Fig. 5 presents the variation of the settling velocity of the particle at $t = 0.001 \text{ s}$ as a function of
 238 time step. One sees that the numerical results become stable when the time step is smaller than
 239 $2.5 \times 10^{-5} \text{ s}$. The numerical results do not change any more significantly as the time step further
 240 decreases. The optimal time step is thus around $2.5 \times 10^{-5} \text{ s}$; a value of $1.0 \times 10^{-5} \text{ s}$ was taken to be
 241 somehow conservative. These values are much larger than the time step of $1.62 \times 10^{-8} \text{ s}$,
 242 recommended and commonly used in DEM simulations (20% of T_R). For such case, the application
 243 of the recommended and commonly used time step can result in unnecessary costs of simulation
 244 time. For a much more complicated situation with much more particles, it is unknown if the time
 245 step determined by using 20% of T_R is too large or too small. The former case results in instable

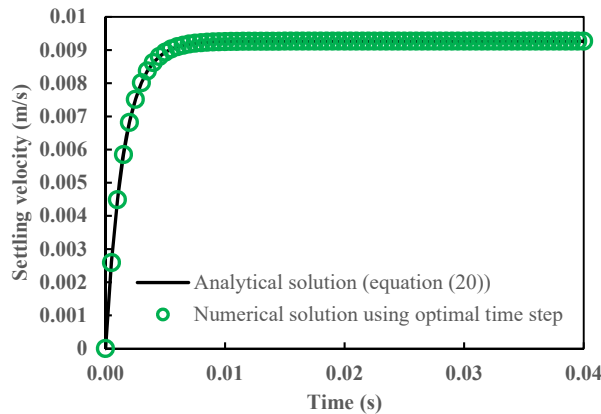
246 and unreliable numerical results while the latter case generates useless waste of time and cost
 247 calculations. None of them is desirable. The good and reliable way is to always conduct sensitivity
 248 analysis of time step, case by case, to find the optimal time step in order to ensure stable and
 249 reliable numerical result with the shortest time of calculations.



250

251 **Fig. 5** The variation of settling velocity ($t = 0.001$ s) of spherical particle as a function of time step

252 Fig. 6 presents the variation of settling velocity of the particle in the water with time, obtained by
 253 applying the analytical solution (Equation (20)) and numerical model with the optimal time step
 254 of 1×10^{-5} s. A perfect agreement is obtained between the analytical and numerical results. The f-
 255 EDEM model can thus be considered as validated by the analytical solution. It remains to test the
 256 applicability or power of the numerical model against experimental results.



257

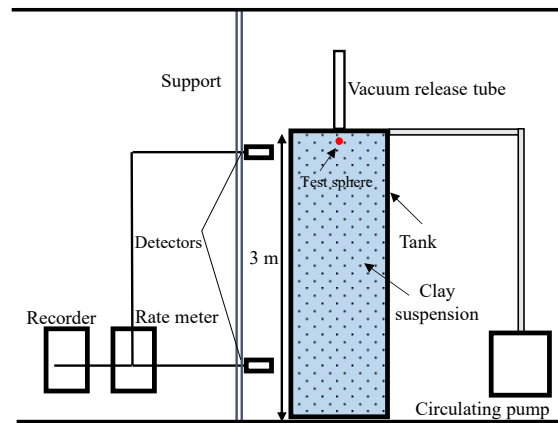
258 **Fig. 6** Variation of settling velocity of the particle as a function of time, obtained by applying the
 259 analytical solution (Equation (20)) and f-EDEM model with the optimal time step of 1×10^{-5} s

260 **4. Application and ability of the f-EDEM model**

261 In this section, the f-EDEM model is employed to reproduce and predict the experimental results
262 regarding the settlement of particles in different fluids. The applicability and ability of the f-EDEM
263 model are examined by comparing the numerical results with the laboratory results.

264 *4.1. Application of the f-EDEM model to numerically reproduce the tests of spheres settling in clay*
265 *suspensions*

266 In this part, the applicability or power of the f-EDEM model is tested by reproducing and
267 predicting the experimental results obtained by Valentik & Whitmore (1965), who conducted
268 several tests of spheres settling in clay suspensions. Fig. 7 shows a schematic presentation of the
269 physical model used by Valentik & Whitmore (1965) for testing the settlement of a sphere in clay
270 suspensions. The clay suspensions were poured in a vertical tank of 3 m high. A sphere was
271 released from a vacuum tube into the clay suspension. The terminal velocity of the sphere in the
272 suspension was recorded using detectors fixed outside the tank. The diameters of spheres range
273 from 9.5 to 57 mm and their densities were changed by adding fine lead shot to a sealed opening
274 in the sphere.



275
276 **Fig. 7** A schematic presentation of the physical model of Valentik and Whitmore (1965) for testing the
277 settlement of a sphere in clay suspensions

278 The detailed physical parameters for the clay suspensions and the corresponding particle Reynolds
279 number R'_e as well as the drag coefficient C_d of spheres are shown in Tables 2 and 3, respectively.

280 **Table 2** Physical parameters of clays suspensions used in the tests (Valentik & Whitmore, 1965)

	Density ρ_{clay} (kg/m ³)	Plastic viscosity η_B (Pa. s)	Yield stress τ_y (Pa)
Clay suspension 1	1280	0.0131	30.5
Clay suspension 2	1254	0.0095	22.5
Clay suspension 3	1226	0.0081	17.5
Clay suspension 4	1207	0.0067	13
Clay suspension 5	1184	0.0054	8.5
Clay suspension 6	1149	0.004	4

281

282

Table 3 Physical parameters of spheres and the corresponding particle Reynolds number R'_e and drag coefficients C_d by applying equations (18) and (17), respectively

283

	Diameter of sphere d_{sphere} (m)	Density of sphere ρ_{sphere} (kg/m ³)	Terminal velocity v_{ter} (m/s)	Particle Reynolds number R'_e	Drag coefficient C_d
Suspension 1	0.0159	6450	0.1	0.42	69.03
	0.019	5832	0.21	1.84	16.65
	0.019	6032	0.365	5.5	5.80
	0.019	6513	0.65	17.5	2.05
	0.019	7290	0.98	39.4	1.06
	0.0254	4804	0.41	7.0	4.55
	0.0254	5237	0.78	25.2	1.44
	0.0254	5544	0.98	39.6	1.00
	0.0254	6142	1.3	69.4	0.66
	0.0254	7034	1.63	108.5	0.51
	0.0317	8195	2.28	211.6	0.40
	0.0381	3431	0.48	9.6	2.98
	0.0381	3603	0.57	13.5	2.32
	0.0381	3742	0.72	21.6	1.56
	0.0381	3990	0.88	32.2	1.17
	0.0381	4025	0.95	37.5	1.02
	0.0381	4479	1.16	55.7	0.81
	0.0381	7160	2.25	207.2	0.42
	0.0444	7712	2.52	260.2	0.44
	0.0508	2673	0.305	3.9	6.16
	0.0508	2909	0.58	14.0	2.07
	0.0508	3416	1.21	60.8	0.66
	0.0571	2728	0.51	10.9	2.67
	0.0571	2981	0.81	27.4	1.28
0.0571	3124	0.94	36.8	1.05	

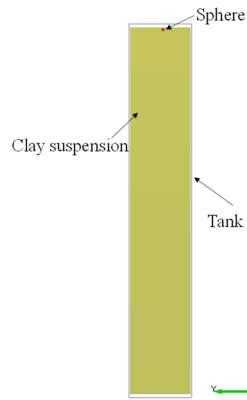
	0.0571	3621	1.25	65.0	0.78
	0.0571	3958	1.47	89.7	0.65
Suspension 2	0.0127	6698	0.395	8.6	3.90
	0.0159	6450	0.73	29.1	1.41
	0.019	7290	1.54	127.8	0.46
	0.0254	6123	1.5	122.3	0.52
	0.0381	2937	0.48	12.8	2.41
	0.0381	3214	0.83	38.0	0.97
	0.0381	3815	1.4	107.6	0.46
	0.0381	4179	1.66	150.8	0.38
	0.0381	7160	2.5	338.9	0.36
	0.0444	7712	2.56	356.6	0.44
	0.0508	2437	0.41	9.3	3.06
	0.0508	2673	0.85	40.0	0.88
	0.0508	4083	1.83	183.8	0.41
	0.0571	2352	0.54	16.2	1.86
	0.0571	2765	1.14	71.8	0.61
	0.0571	4003	2.04	228.5	0.37
Suspension 3	0.0095	6749	0.37	9.4	3.43
	0.0159	6732	1.1	82.1	0.70
	0.019	4199	0.54	20.2	1.76
	0.0254	2795	0.22	3.4	6.93
	0.0254	3179	0.54	20.2	1.51
	0.0254	3704	1.01	70.2	0.57
	0.0254	6123	1.88	239.4	0.35
	0.0317	3005	0.7	34.0	1.04
	0.0317	8195	2.4	389.9	0.39
	0.0381	2786	0.46	14.7	2.57
	0.0444	2295	0.53	19.6	1.48
	0.0444	2987	1.28	113.3	0.45
	0.0508	2183	0.6	25.1	1.19
	0.0508	2493	1.07	79.4	0.52
	0.0508	3002	1.49	153.5	0.39
	0.0508	4000	1.95	261.7	0.37
0.0571	2229	0.75	39.2	0.93	
0.0571	2706	1.33	122.6	0.46	
	0.0095	6751	0.716	45.8	0.98
	0.0159	6432	1.29	148.3	0.50
	0.019	3560	0.65	38.5	0.99
	0.019	4035	0.97	85.1	0.55
	0.019	7290	1.75	271.5	0.39
	0.0254	2711	0.15	2.1	15.39
	0.0254	2779	0.54	26.8	1.25
	0.0254	3210	0.88	70.6	0.62

Suspension 4	0.0254	3649	1.15	120.0	0.46
	0.0317	2865	0.76	53.0	0.87
	0.0381	2702	0.97	86.2	0.58
	0.0444	2415	0.95	82.9	0.57
	0.0508	2222	0.95	83.0	0.54
	0.0571	1910	0.58	31.1	1.09
	0.0571	2098	0.9	74.6	0.60
	0.0571	2595	1.45	192.7	0.38
	0.0571	3707	1.88	322.7	0.42
Suspension 5	0.0095	6751	0.94	115.8	0.61
	0.0127	3332	0.28	10.8	3.27
	0.0159	3150	0.53	38.3	1.07
	0.0159	6432	1.58	327.1	0.35
	0.019	2887	0.67	61.2	0.70
	0.019	3891	1.1	162.6	0.43
	0.019	7290	1.89	468.0	0.35
	0.0254	2018	0.295	12.0	2.17
	0.0254	2394	0.61	51.1	0.79
	0.0254	2544	0.78	83.1	0.55
	0.0254	3041	1.08	158.2	0.41
	0.0254	4604	1.52	310.0	0.40
	0.0254	6123	1.79	427.2	0.42
	0.0317	2420	0.94	120.8	0.44
	0.0381	2309	0.95	123.8	0.47
	0.0381	7160	2.52	848.9	0.39
	0.0571	1711	0.69	65.8	0.60
	0.0571	2204	1.22	204.5	0.40
	0.0571	2801	1.57	337.5	0.40
	Suspension 6	0.0095	6751	1.26	402.6
0.0127		3319	0.76	156.5	0.51
0.0127		6693	1.4	507.1	0.40
0.0159		2984	0.86	201.5	0.42
0.0159		6432	1.54	621.1	0.39
0.019		2516	0.82	185.2	0.41
0.019		3838	1.26	427.7	0.35
0.019		6032	1.64	711.2	0.38
0.019		7290	1.9	942.7	0.36
0.0254		1708	0.42	49.8	0.79
0.0254		2009	0.71	140.9	0.45
0.0254		3006	1.18	382.2	0.37
0.0254		4102	1.49	602.4	0.37
0.0254		6023	1.92	984.5	0.38
0.0381		2000	0.77	166.9	0.59
0.0381		2310	1.02	291.1	0.46

	0.0381	5932	2.31	1445.2	0.38
	0.0444	2003	0.93	243.3	0.47
	0.0571	1314	0.17	8.3	2.95
	0.0571	1481	0.63	112.8	0.49
	0.0571	2007	0.98	271.2	0.56
	0.0571	2832	1.51	638.1	0.47
	0.0571	3879	1.89	993.2	0.49
	0.0571	6002	2.8	2146.8	0.40

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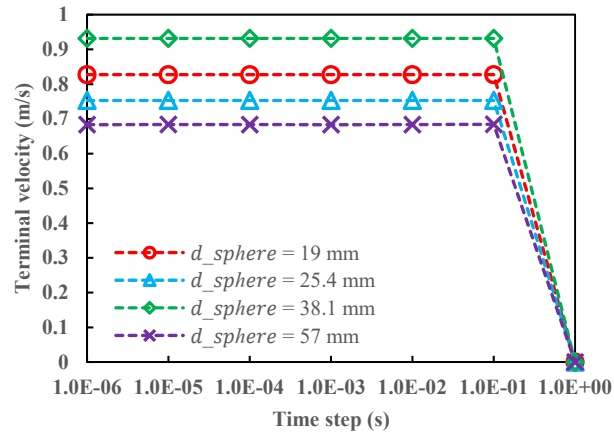
285 Fig. 8 illustrates the numerical model to simulate the settlement of spheres in the clay suspensions
 286 built with EDEM. The geometry of the numerical model is the same as the physical model shown
 287 in Fig. 7. The physical parameters for the numerical model can be found in Tables 2 and 3.



288

289 **Fig. 8** Numerical model for reproducing the settling of spheres in the clay suspensions built with EDEM

290 Once again, sensitivity analysis is necessary to determine the optimal time step. Fig. 9 shows the
 291 variation of the terminal velocity v_{ter} of spheres as a function of time step. It is seen that the
 292 numerical results become stable once the time step is equal to or smaller than 1×10^{-1} s for spheres
 293 with four different diameters. Further reductions of time step do not significantly change the
 294 simulation results.



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Fig. 9 The variation of terminal settling velocity v_{ter} of spheres as a function of time step

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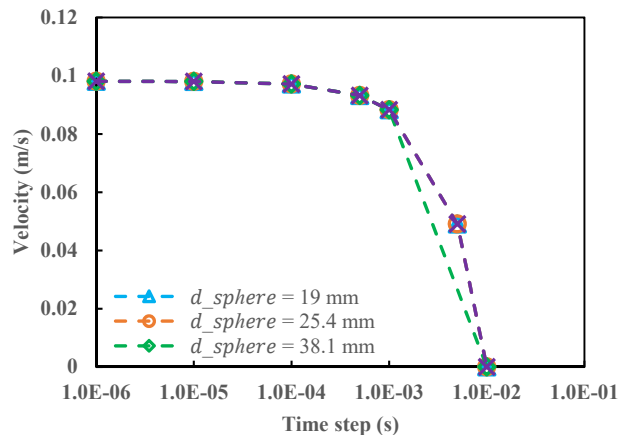
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Fig.10 illustrates the variation of the settling velocity of spheres at $t = 0.01$ s as a function of time step. The numerical results become stable when the time step is reduced to 1×10^{-4} s, a value much smaller than the optimal time step of 1×10^{-1} s for simulating the terminal velocity. This is because the settling velocity changes rapidly with time at the beginning and tends to become constant when it is close to the terminal velocity. The time step should be small enough to capture the rapid change of velocity with time at the beginning of settlement. When the velocity is close to the terminal velocity, the velocity changes with time becomes small and a large time step can become enough to capture the velocity change with time. To ensure the numerical results are stable for the spheres at all different times, the optimal time step is determined as 1×10^{-5} s.



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307

Fig. 10 The variation of settling velocity of spheres ($t = 0.01$ s) as a function of time step

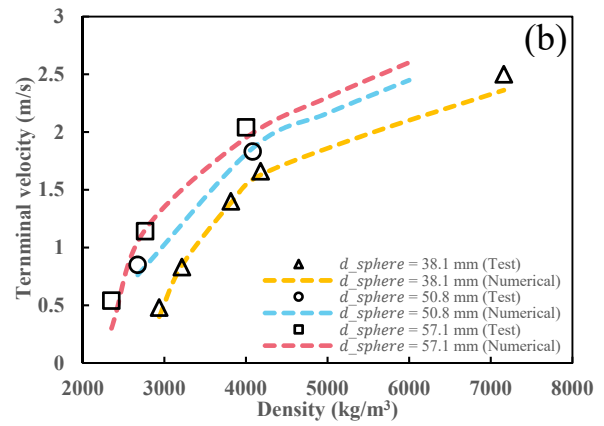
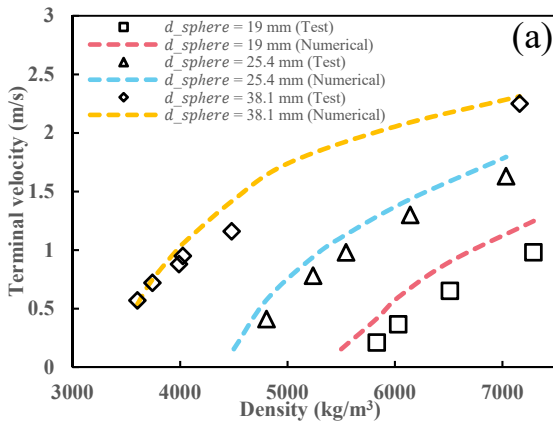
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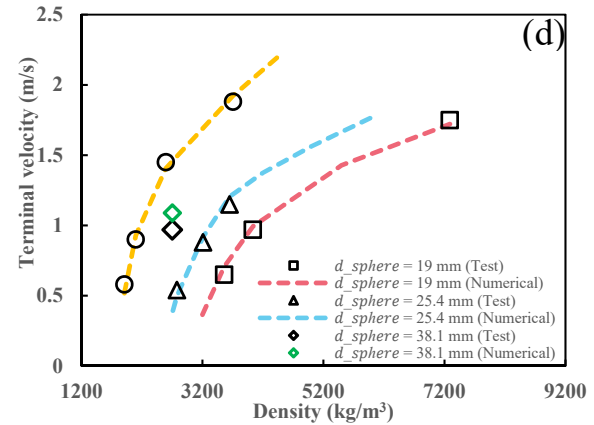
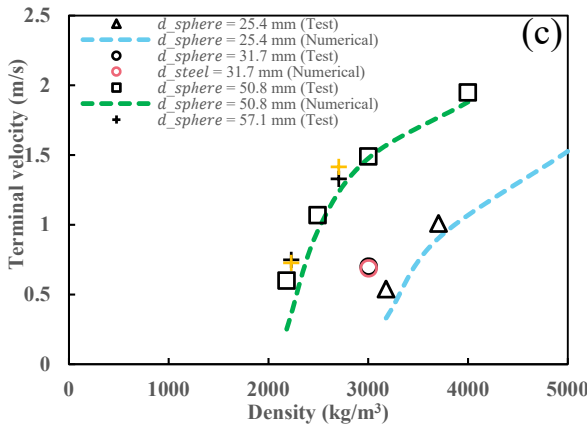
Fig. 11 presents the variation of the terminal velocities of spheres as a function of sphere density for different diameters of spheres settling in clay suspensions 1 to 6 (Fig. 11a to 11f), obtained by

310 laboratory tests and f-EDEM model by considering the optimal time step of 1×10^{-5} s. One sees that
 311 the agreements between the experimental results and numerical predictions are very good for all
 312 the spheres in different clay suspensions. These results confirm that the f-EDEM model can be
 313 applied (i.e. is applicable or has the required power) to simulate the settlements of spheres in clay
 314 suspensions.

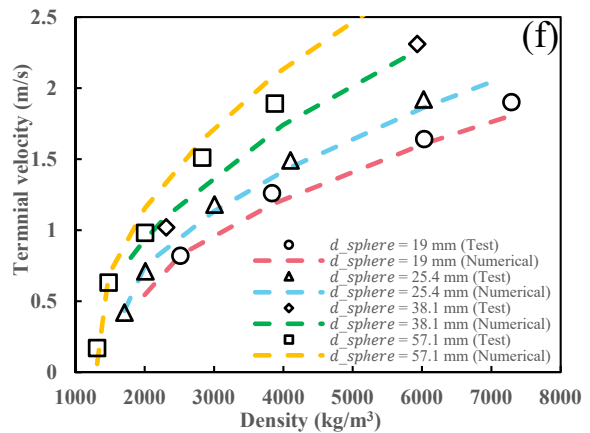
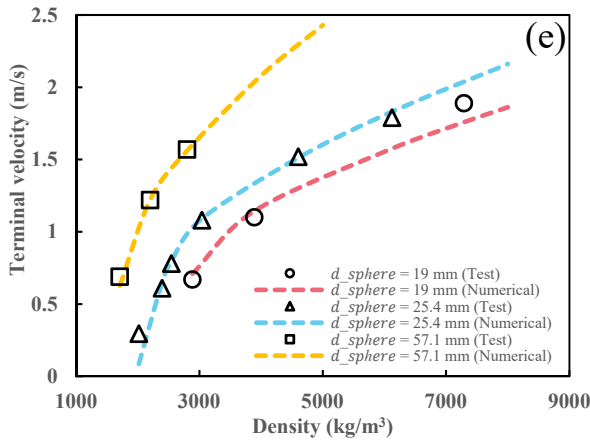
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318 **Fig. 11** Variation of the terminal velocities of spheres as a function of sphere density for different
 319 diameters of spheres settling in clay suspensions 1 to 6, obtained by the test and f-EDEM model with the
 320 optimal time step of 1×10^{-5} s: (a) suspension 1; (b) suspension 2; (c) suspension 3; (d) suspension 4; (e)
 321 suspension 5; (f) suspension 6

322 *4.2. Application of the f-EDEM model to numerically reproduce tests of steel balls falling into a*
 323 *paste backfill*

324 To further exam the applicability of f-EDEM model to simulate the settlement of particles in other
 325 non-Newtonian fluids, a series of particle settlement tests have been realized in the laboratory with
 326 steel balls and a paste backfill, which is usually characterized as a Bingham plastic fluid (Potvin
 327 et al., 2005). The number of calibration parameters is minimized through the measurements as
 328 long as possible of the material parameters of the tested steel balls and paste backfill. Typical
 329 values are taken from the literature for the Young's modulus and Poisson's ratio of steel balls as
 330 well as the rolling resistance coefficient of steel ball of 12.6 in diameter. This results in Table 4,
 331 by which one sees that the calibration is necessary only on the plastic viscosity of the tested paste
 332 backfill. The yield stress of the tested paste backfill was obtained through slump tests (see details
 333 given Appendix I), whereas the restitution coefficient between the steel balls was measured by
 334 conducting steel ball drop tests (see details given Appendix II) and the static friction coefficient
 335 between the steel balls was measured through tilt tests with a steel block and a steel plate (see
 336 details given in Appendix III).

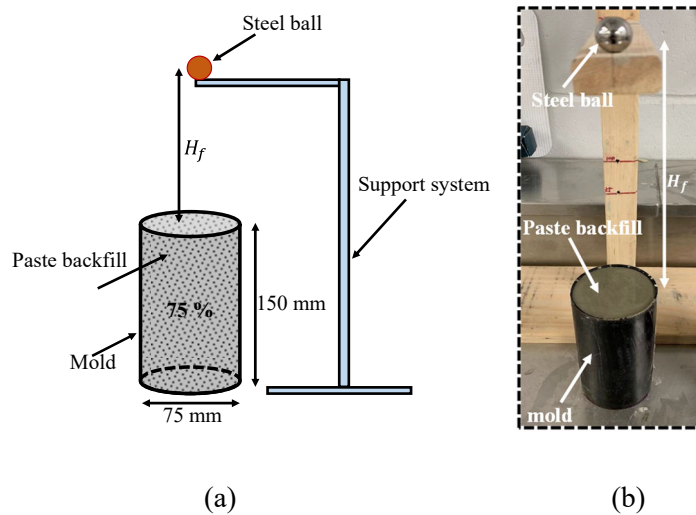
337 **Table 4** Physical parameters for the tests of steel ball falling into a paste backfill

Steel ball parameters	Diameter, d_{steel} (mm)	8, 12.6, 16	Measured
	Density, ρ_{steel} (kg/m ³)	8135	Measured
	Falling height, H_f (mm)	0, 105, 150, 255, 405, 555	Measured
	Young's modulus, E (GPa)	200	Marinack et al., 2013
	Poisson's ratio, ν	0.3	Marinack et al., 2013
Paste backfill parameters	Solids content, P (%)	75	Measured
	Yield stress, τ_y (Pa)	136	Measured
	Viscosity, η_B (Pa.s)	0.7	Calibrated
	Restitution coefficient, μ_e	0.612	Measured

Interaction parameters between steel ball of 12.6 mm in diameter	Static friction coefficient, μ_s	0.341	Measured
	Rolling resistance coefficient, μ_r	0.002	Minkin & Sikes, 2018

338

339 Fig. 12 presents a schematic presentation and a picture of the physical model used to test the
 340 penetration of a single steel ball in the tested paste backfill (see details given in Zhang & Li, 2023
 341 for the preparation of the paste backfill). The prepared paste backfill was poured into a cylinder of
 342 75 mm in diameter and 150 mm high, quickly followed by the release of a steel ball at a
 343 predetermined falling height H_f . Three steel balls were used in the tests with each having a diameter
 344 of 8, 12.6, or 16 mm, respectively. Each steel ball was dropped into the paste backfill from six
 345 different falling heights (see Table 4). Once the steel ball fell into the paste backfill, the mold
 346 was fixed on the table and remained untouched until the paste backfill became hard enough for cut.
 347 During the waiting time, any movements or vibrations, which are subjective to affect the physical
 348 model, were not allowed.



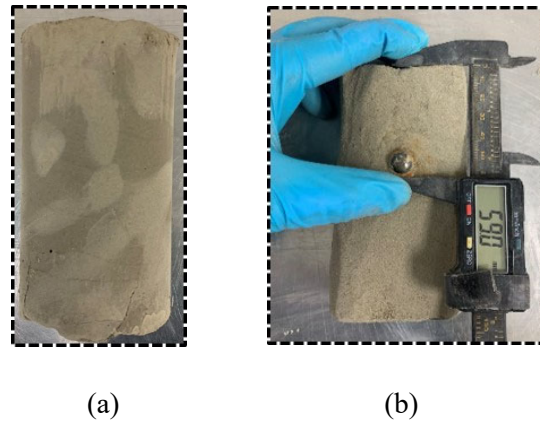
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351 **Fig. 12** Tests of a steel ball falling into a paste backfill: (a) schematic presentation; (b) physical model

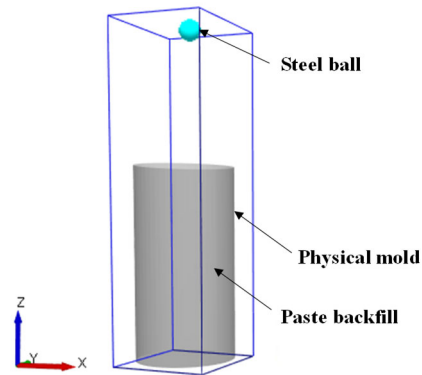
352 Figure 13 shows a typical specimen (Fig. 13a) of hardened paste backfill taken out from the mold
 353 and the steel ball of 16 mm in diameter (Fig. 13b) exposed after gently cut of the paste backfill.
 354 The settling distance of the steel ball within the paste backfill was measured using an electronic

355 digital caliper (Fig. 13b). The procedure was repeated for different steel balls and different
356 predetermined falling heights.

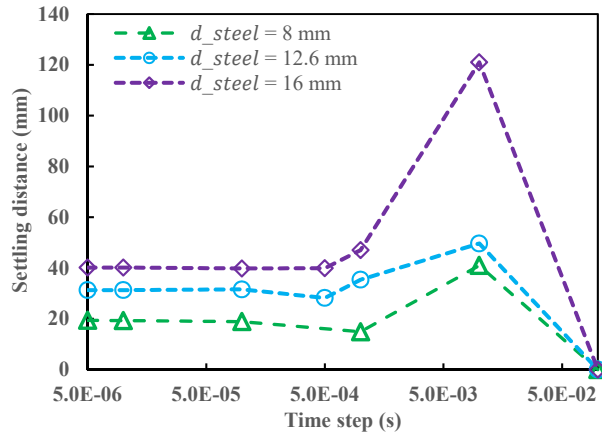


359 **Fig.13** Measurement of settling distance of a steel ball within the paste backfill: (a) hardened paste
360 backfill sample taken out from the mold; (b) measuring the settling distance of steel ball within the paste
361 backfill

362 Fig. 14 presents a numerical model of the physical model presented in Figure 12 built with EDEM.
363 The physical parameters can be found in Table 4, except the viscosity of the paste backfill, which
364 needs to be obtained by numerical calibration against the experiment results. Sensitivity analyses
365 of time step presented in Fig. 15 indicate that the optimal time step for all the three steel balls is
366 around 1×10^{-5} s. A value of 5×10^{-6} s was retained for being more conservative.



368 **Fig. 14** Numerical model of the physical model presented in Fig. 12 for reproducing the settlement of a
369 single steel ball within the paste backfill built with EDEM



370

371

Fig. 15 The variation of settling distance of steel balls as a function of time step

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To calibrate the viscosity of the paste backfill, the test results obtained by using the steel ball of 12.6 mm in diameter dropped into paste backfill at a falling height of 255 mm will be reproduced by f-EDEM model. This leads to a viscosity 0.7 Pa.s by which the best agreement between the experimental and numerical results is achieved. This viscosity of paste backfill is then used to predict the other test results obtained with the same steel ball dropped at different falling heights and those obtained with the two other steel balls dropped at different falling heights.

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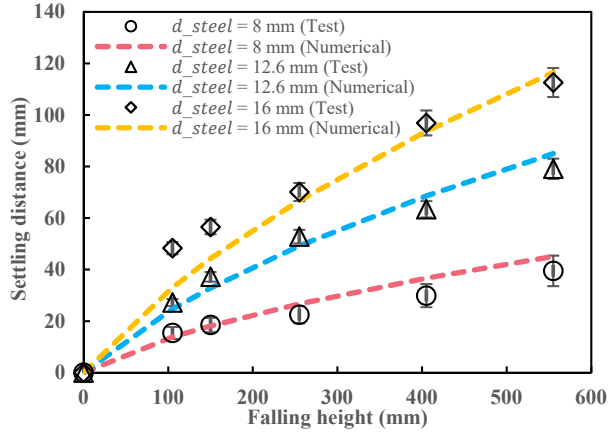
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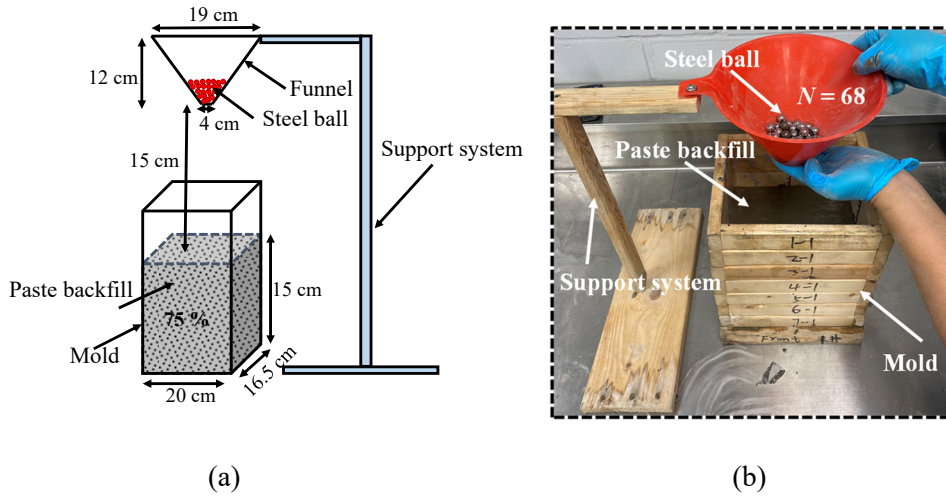
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Fig. 16 shows the variation of the settling distance of a steel ball in the paste backfill as a function of falling height, obtained by laboratory results and calculated by f-EDEM model by using the physical parameters shown in Table 4 along with the calibrated viscosity of 0.7 Pa.s for the paste backfill. It can be seen that the agreement between the numerical and experimental results for the case of steel ball of 12.6 mm dropped at a falling height of 255 mm is almost perfect because these tests results were used to calibrate the viscosity of the paste backfill. For the tests with the same steel ball dropped at different heights and for tests with the other two steel balls (8 and 16 mm in diameter, respectively) dropped at different falling heights, the agreements between the experimental results and those predicted by using the calibrated f-EDEM model remain quite good, except for the settlement of the steel ball of 16 mm in diameter dropped at falling heights of 105 and 150 mm, respectively. In general, the agreements between the experimental results and numerical results predicted by applying the f-EDEM model are good. The f-EDEM model can thus be considered as capable of simulating the settlement of a single steel ball in the paste backfill.



391
 392 **Fig. 16** Variation of settling distance of steel balls as a function of falling height, obtained by test and f-
 393 EDEM model with optimal time step of 5×10^{-6} s

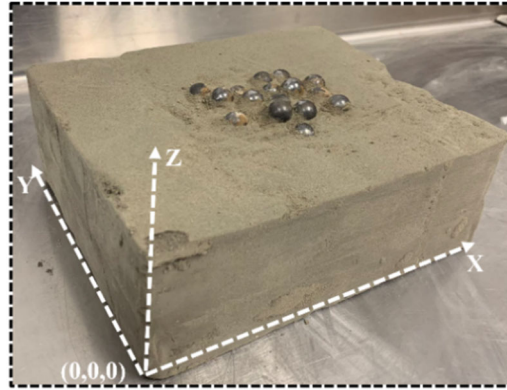
394 To further show the applicability of the f-EDEM model, additional falling tests have been
 395 performed with 68 steel balls having a diameter of 12.6 mm initially held in a funnel, dropped at
 396 a falling height of 15 cm into the same paste backfill as that one used for falling tests with a single
 397 steel ball (see details given in Table 4). Fig. 17 shows a schematic presentation (Fig. 17a) and a
 398 picture (Fig. 17b) of the physical model.



399
 400
 401 **Fig. 17** Physical model used for the falling tests with 68 steel balls of 12.6 mm in diameter, initially held
 402 in a funnel and dropped into the paste backfill at a falling height of 15 cm: (a) schematic presentation; (b)
 403 a picture

404 Once again, the mold was left untouched on the table for a couple of weeks after having poured
 405 the steel balls into the paste backfill. During the waiting time, no vibrations and movements were

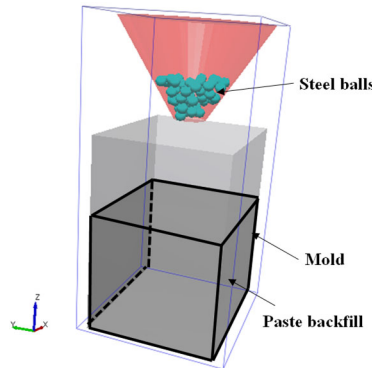
406 allowed. When the paste backfill became hard enough, the mold was removed and the hardened
407 paste backfill was gently cut layer by layer to obtain the spatial position in terms of X , Y and Z
408 coordinates of each steel ball, as shown in Fig. 18.



409

410 **Fig. 18** Identifying the spatial distributions of steel balls in the paste backfill

411 Fig. 19 presents the numerical model of Fig. 17, built with EDEM. It is worth mentioning that all
412 the required parameters for the numerical model have been determined as shown in Table 4 with
413 the viscosity of 0.7 Pa.s for the paste backfill obtained by calibration process against the
414 experimental results of falling tests with a single steel ball of 12.6 mm dropped at a falling height
415 of 255 mm. Subsequently, all the numerical results presented hereafter are numerical predictions.

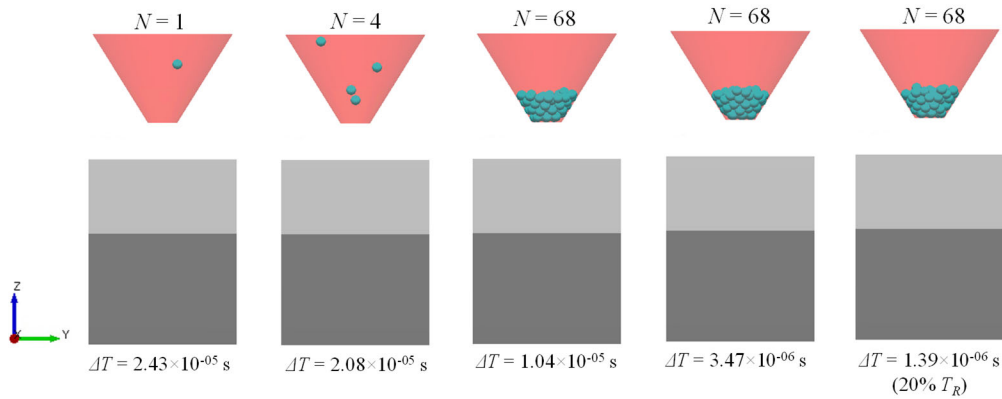


416

417 **Fig. 19** Numerical model for reproducing the test of multiple steel balls falling into a paste backfill

418 Fig. 20 illustrates the variation of steel ball number, N , at $t = 0.5$ s as a function of time step ΔT .
419 One sees that only one steel ball was generated when the time step is 2.43×10^{-5} s. The number of
420 steel ball $N = 4$ when the time step decreases to 2.08×10^{-5} s. Once the time step is reduced to 1.04
421 $\times 10^{-5}$ s or smaller, the number of steel ball becomes stable ($N = 68$). The optimal time step is thus

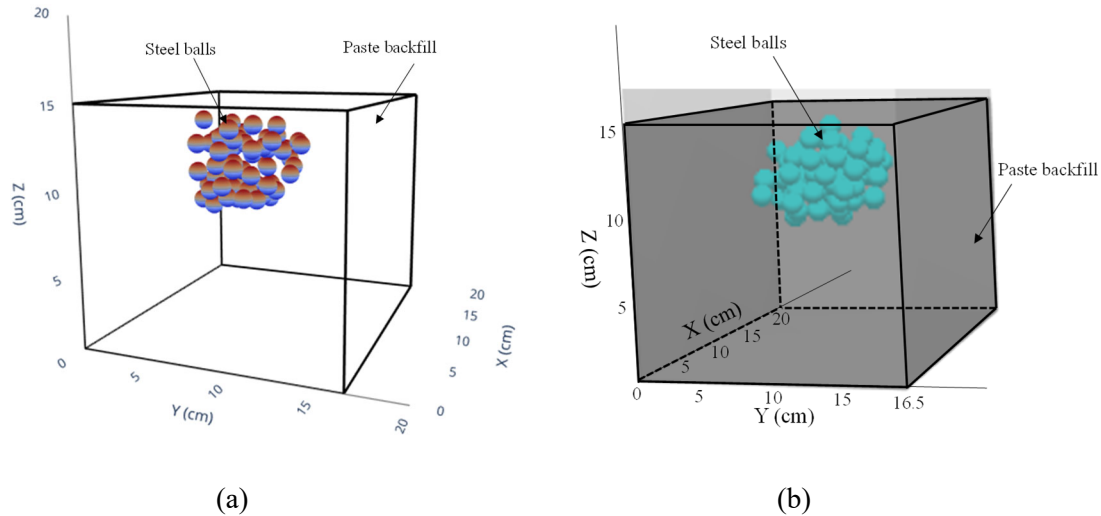
422 taken as 1.04×10^{-5} s. This value is larger than 20% T_R (1.39×10^{-6} s), a value recommended by most
 423 DEM simulations. The results once again confirm that sensitivity of time step is always necessary
 424 to conduct case by case to find the optimal time step in order to ensure stable and reliable numerical
 425 result with the shortest time of calculations.



426

427 **Fig. 20** Variation of steel ball number N at $t = 0.5$ s with the change of time step ΔT

428 Fig. 21 presents the distributions of steel balls in the vertical direction (along Z axis) in the paste
 429 backfill, obtained by laboratory tests (Fig. 21a, plotted by inputting the measured coordinates X , Y
 430 and Z of each sphere in Python) and predicted by f-EDEM model with the optimal time step of
 431 1.04×10^{-5} s (Fig. 21b). Both the experimental and numerical results indicate that all the steel balls
 432 remain in suspending within the paste backfill along the vertical heights from $Z = 8.5$ to 13.5 cm.
 433 The only way to explain this phenomenon is to attribute it to the loss of kinematic energy of steel
 434 balls because of the large drag force provided by the paste backfill with high viscosity and yield
 435 stress. The steel balls quickly experience deceleration as soon as they penetrate into paste backfill.
 436 They can stop moving and remain in suspending probably because the mass of the steel ball is not
 437 large enough to overcome the drag force in the paste backfill when the settling velocity falls to
 438 zero. Moreover, some steel balls can penetrate deeper in the paste backfill due to the hit and impact
 439 of upper steel balls fallen later. In general, a good agreement between the experimental and
 440 numerical results is obtained, as shown by Figs. 21a and 21b.



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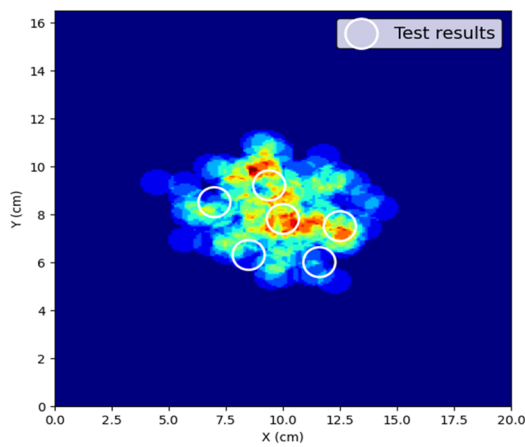
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443 **Fig. 21** Distributions of steel balls in the vertical direction (along Z axis) in the paste backfill, obtained by
 444 laboratory tests and predicted by f-EDEM model with the optimal time step of 1.04×10^{-5} s: (a) test
 445 results; (b) numerical results

446 To further evaluate the quality of agreement between the experimental and numerical modeling
 447 with the f-EDEM model, the horizontal distributions of the steel balls at different vertical heights
 448 are also examined. Fig. 22 shows the distributions of steel balls at a horizontal plane varying from
 449 $Z = 13.5$ to 8.5 cm (Figs. 22a to 22f), obtained by the laboratory tests and predicted by the
 450 numerical modeling with the f-EDEM model. It should be noted that the numerical results are
 451 presented in terms of probability. This is because it is very difficult, if not impossible, to reproduce
 452 exactly the initial spatial position of each individual particle. The initial spatial position of each
 453 individual particle remains undefined in the laboratory tests, while the individual particles are
 454 randomly generated by the f-EDEM model. These differences in initial spatial positions of
 455 particles can result different collisions during their movements. The settlements and spatial
 456 distributions can thus be different from one simulation to another. To reduce the uncertainty
 457 associated with the initial generation of particles, the simulations were repeated 15 times. The
 458 number of presence of particle in each cluster was then counted, resulting in the presence
 459 probability of a particle, as shown in Figs. 22a to 22f.

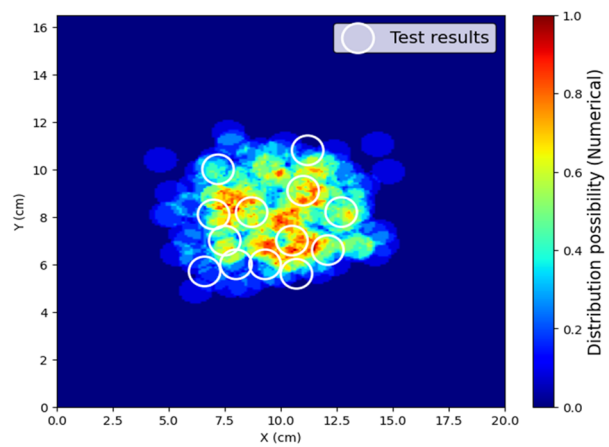
460 All the numerical results indicate that the steel balls are highly probable to be present in the small
 461 center area while the probability of presence reduces to 40% or less along the perimeter of the
 462 center area. The high probabilities in the center area are confirmed by the experimental results.
 463 Along the perimeters of center area, the observed presence of particles cannot confirm or disaffirm

464 the numerical results with a probability of 40%. However, the agreements between the numerical
465 and experimental results are quite good in term of the perimeter positions for all the planes varying
466 from $Z = 12.5$ to 9.5 cm, except in the plane of $Z = 8.5$ cm, in which the positions of perimeter
467 particles are slightly different from those predicted by the numerical modeling (Fig. 22f). In
468 general, the agreements between the experimental results and numerical predictions are quite good,
469 considering numerous uncertainties in the laboratory tests. The ability and applicability of the f-
470 EDEM model in simulating the settlement of multiple steel balls in paste backfill are also
471 confirmed.



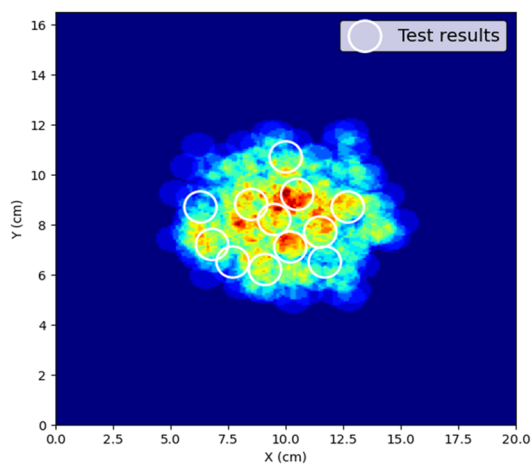
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(a)



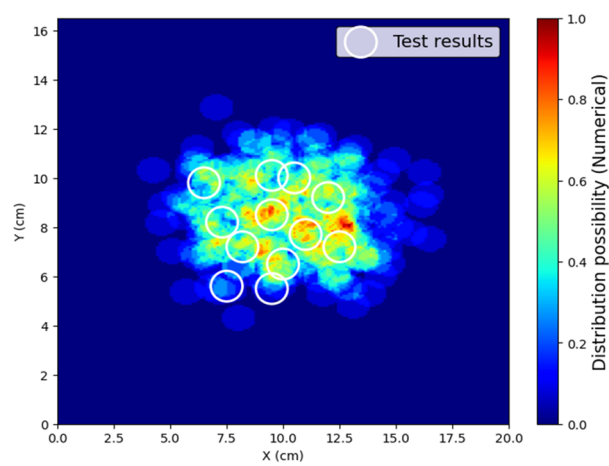
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(b)



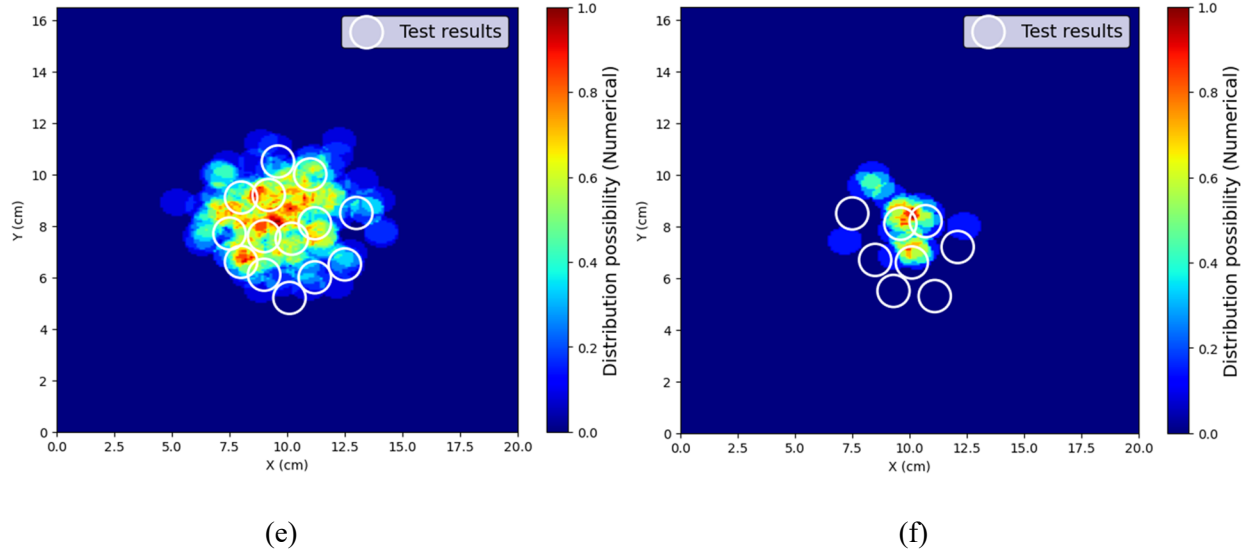
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(c)



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(b)



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Fig. 22 The distributions of steel balls at a horizontal plane varying from $Z = 13.5$ to 8.5 cm, obtained by the laboratory tests and predicted by the f-EDEM model: (a) $Z = 13.5$ cm; (b) $Z = 12.5$ cm; (c) $Z = 11.5$ cm; (d) $Z = 10.5$ cm; (e) $Z = 9.5$ cm; (f) $Z = 8.5$ cm

481 5. Discussion

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In this study, the formulation and implementation of fluid forces have been realized in the EDEM code via a user-defined-force-model to simulate the settlement of spherical particles in different fluids. This results in a new model, called f-EDEM model. The f-EDEM model has been validated against an analytical solution while its ability and applicability have been confirmed through the numerical reproductions of several experimental results. It can thus be used to solve several engineering problems associated with the settlement of particles in fluids. However, one needs to keep in mind that this study involves several limitations.

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In this study, the fluids (e.g., clay suspension and paste backfill) were represented by the buoyance and drag forces. The numerical model does not correspond to the real situation with the physical presence of the simulated fluid. In the simulation, the flow velocity of the fluid is set to be always zero (fluid at rest). The slip velocity between the particle and fluid thus be considered equals the settling velocity of particle. However, the model is not able to take into account the effects of particle movement on the motion of fluid (e.g., the splash of fluid after the particle is dropped) because the flow of fluid cannot be described in the simulation. In this situation, the accuracy of slip velocity between the particle and fluid can be influenced. For the cases with even more complicated interactions, such as two-way simulations (the fluid flow affects the particles

498 movement and the particles in turn affect the motion of fluid) or the particle-related inner stream
499 flow (fluid flow between the particles), the coupling with CFD solver will be necessary as these
500 simulations requires the Navier-Stokes equation to describe the flow of the fluids. More work is
501 thus needed to render the model able to simulate these complicated cases in our future study. In
502 addition, the sedimentation or consolidation of clay suspensions and paste backfill cannot be taken
503 into account using the proposed model.

504 By comparing the numerical and the test results, the applicable particle density obtained by this
505 study ranges from 1314 to 8135 kg/m³ and the particle diameter ranges from 8 to 57.1 mm.
506 Particles out of these specific ranges will need to be examined by conducting more numerical
507 simulations and lab tests.

508 The ability of f-EDEM model to simulate the settlement of particles in part of Bingham plastic
509 fluids (e.g., clay suspensions and paste backfill) has been confirmed by reproducing and predicting
510 the experimental results. However, the drag force model is not a generalized model for simulating
511 the settlement of particles in all non-Newtonian fluids. The applicability of f-EDEM model to
512 simulate the settling of particles in other Bingham plastic fluids remains to be examined by
513 comparing the numerical results with more physical test results. In addition, the proposed drag
514 model is developed for particles with spherical shapes. For simulations using spheres to represent
515 non-spherical particles (e.g., rock particles), one needs to make sure that the shape-related effects
516 can be neglected due to the relatively small errors coming from the particle-shape-approximation
517 (as spheres) and have insignificant influences on the overall simulation results. Otherwise, the
518 shape effects will need to be addressed and considered by changing some other parameters. This
519 will be part of our future works and publications.

520 Another limitation of the f-EDEM model is related to the consideration of fully submerged
521 particles. This can be considered reasonable with small particles. When the dropped particles are
522 large, the changes of submergence of the particle from the moment of contact with the top surface
523 of the fluid to fully submerged state cannot be captured by the f-EDEM model. More work is thus
524 necessary to take into account this aspect in the future.

525 **6. Conclusions**

526 In this study, fluid forces have been formulated and directly implemented in EDEM code, resulting
527 in a new model, named f-EDEM. Using this model, the settlement of particles in fluids can be
528 simulated by a single DEM code without the need of coupling with a CFD or SPH solver. The
529 good agreement between the analytical solution and numerical results of the settling velocity of a
530 particle in water indicates that the f-EDEM model was successfully validated. Further comparisons
531 and good agreements between the experiment results and numerical reproduction of spheres
532 settling in clay suspensions and steel balls settling in paste backfill indicate that the f-EDEM model
533 is applicable and has the ability of simulating the settlements of particles in Newtonian and some
534 Bingham plastic fluids. In addition, this study shows that the time step recommended by the
535 manual of most DEM codes (10 to 20% of Rayleigh time) can be excessively small, leading to a
536 high computational cost. It is always necessary to conduct sensitivity analysis of time step, case
537 by case, to find the optimal time step in order to ensure stable and reliable numerical result with
538 the shortest time of calculations.

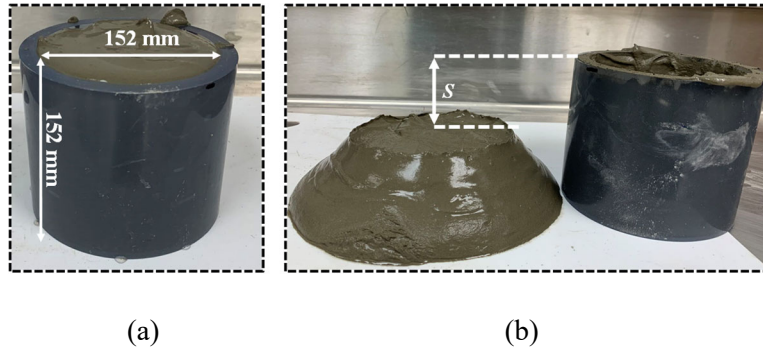
539 **Acknowledgements**

540 The authors acknowledge the financial support from the Natural Sciences and Engineering
541 Research Council of Canada (NSERC RGPIN-2018-06902), Natural Sciences and Engineering
542 Research Council of Canada (NSERC ALLRP 580767 - 22), Fonds de recherche du Québec –
543 Nature et technologies (FRQNT 2017-MI-202860), and industrial partners of the Research
544 Institute on Mines and the Environment (RIME UQAT – Polytechnique; <http://rime-irme.ca>).

545 **Appendix I: Measurement of yield stress of paste backfill by slump tests**

546 Slump tests were conducted to measure the yield stress of paste backfill using the method proposed
547 by Pashias and Boger (1996). The test procedures are presented in Fig. A1. A cylinder model was
548 chosen for the tests because this geometry is considered as the most accurate model to predict the
549 yield stress of mine backfill based on the study of Clayton et al. (2003). The cylinder model is
550 made of Polyvinyl chloride and has an inner diameter of 152 mm and a height of 152 mm (Fig.
551 A1a). In the tests, the paste backfill with solids content by mass of 75% was first poured into the
552 cylinder mold. The mold was then gently lifted in a vertical direction. The slump height S of paste

553 backfill was measured using a ruler (Fig. A1b). The tests were repeated three times to minimize
 554 the test errors.



555
 556
 557 **Fig. A1** Slump tests: (a) cylinder mold filled with paste backfill; (b) measure the slump height

558 The yield stress of paste backfill is then calculated based on the cylinder model theory given by
 559 Pashias and Boger (1996). First, a relationship between the dimensionless slump height and
 560 dimensionless yield stress can be expressed as:

$$S' = 1 - 2\tau'_y [1 - \ln 2\tau'_y] \quad (\text{A1})$$

561 where S' is the dimensionless slump height, τ'_y is the dimensionless yield stress.

562 The dimensionless variables can be expressed as:

$$S' = S/H \quad (\text{A2})$$

563 where S is the slump height, H is the height of cylinder model.

$$\tau'_y = \tau_y / \rho_{paste} g H \quad (\text{A3})$$

564 where τ_y is the yield stress, ρ_{paste} is the density of paste backfill.

565 Based on equations (A1) to (A3), the yield stress τ_y of paste backfill can be calculated. Table A1
 566 presents the test parameters and calculated yield stress of paste backfill.

567 **Table A1** Slump test results

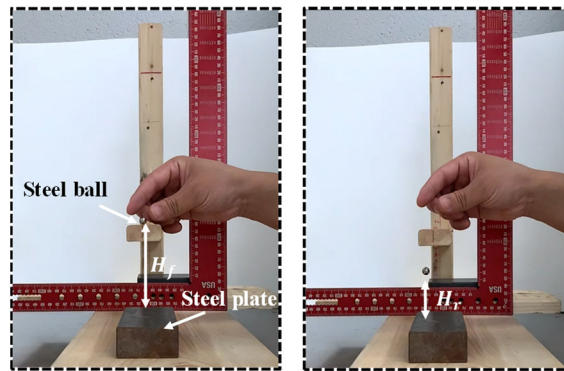
Model height, H (mm)	Model inner diameter, D (mm)	Paste backfill density, ρ_{paste} (kg/m ³)	Slump height, S (mm)	Yield stress, τ_y (Pa)	Average yield stress (Pa)
152	152	1843	102	135	

152	152	1843	103	131	136
152	152	1843	100	143	

568

569 **Appendix II: Determination of restitution coefficient between steel balls**
570 **through steel ball drop tests**

571 The restitution coefficient between steel balls were determined by conducting the tests of a steel
572 ball re-bounce in a steel plate (Fig. A2). In the tests, a steel ball with diameter $d_{steel} = 8$ mm was
573 drop into a steel plate at a pre-determined falling height H_f . The re-bounce height H_r of steel ball
574 in the plate was recorded using a high-speed camera. The steel ball was dropped at five different
575 falling heights with each test was repeated five times to minimize the test errors.



576

577

(a)

(b)

578 **Fig. A2** Steel ball drop tests: (a): drop the steel ball at falling height of H_f (b): measure the re-bounce
579 height H_r of steel ball

580 The restitution coefficient μ_e is then calculated by Sandeep et al. (2021):

$$\mu_e = \sqrt{\frac{H_r}{H_f}} \quad (\text{A4})$$

581 The physical parameters and test results are presented in Table A2.

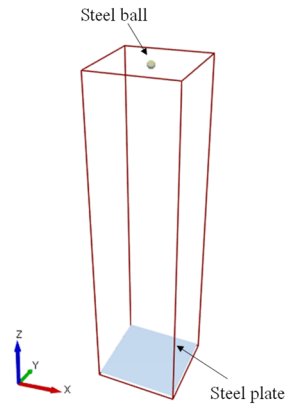
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Table A2 Physical parameters and results of a steel ball re-bounce tests

Steel ball diameter d_{steel} (mm)	Steel ball density ρ_{steel} (kg/m ³)	Steel ball falling height H_f (mm)	Steel ball re-bounce height H_r (mm)	Restitution coefficient μ_e	Average
8	8135	95	37.0	0.624	0.612
		188	72.0	0.619	
		252	90.9	0.601	
		318	118.0	0.609	
		398	146.9	0.608	

583

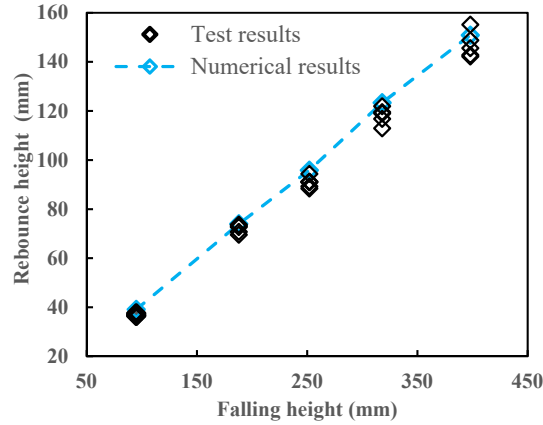
584 A numerical model (Fig. A3) was constructed to reproduce the test results by using the average
585 restitution coefficient ($\mu_e = 0.612$). The input physical parameters of the numerical model can be
586 found in Table A2.



587

588 **Fig. A3** Numerical model for reproducing the test of steel ball drop in a steel plate

589 Fig. A4 presents the comparisons between the test results and numerical results of re-bounce height
590 of steel ball at different falling heights. It is seen that the numerical results match perfectly with
591 the test results at each falling height. The results confirm that the numerical model can precisely
592 predict the re-bounce height of steel ball at different falling heights by using the measured
593 restitution coefficient.



594

595 **Fig. A4** Variation of re-bounce height of steel with the falling height, obtained by test results and
 596 numerical results

597 **Appendix III: Determination of the static friction coefficient between steel balls**
 598 **through tilt tests**

599 The static friction between the steels were estimated by conducting the tilt test with a steel block
 600 and a steel plate (Fig. A5). In the test, the steel plate was slightly lifted to a height H_l at where the
 601 steel block started to move. The lift height H_l was then recorded. The test was repeated five times
 602 to reduce the measurement error.



603

604 **Fig. A5** The tilt test with a steel block and a steel plate

605 The static friction coefficient is calculated by (Ibrahim Dickey et al., 2011):

606
$$\mu_s = \frac{H_l}{L}$$

607 where μ_s is the static friction coefficient, H_l is the lift height, L is distance between the vertex at
 608 the angle θ and the vertex at the vertical angle.

609 The detailed test results are presented in Table A3.

610 **Table A3** Test results of a steel block slide on a steel plate

Trials	L (cm)	H_l (cm)	μ_s	Average
1	600	205	0.342	
2	600	205	0.342	
3	600	202	0.337	0.341
4	600	202	0.337	
5	600	208	0.347	

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